

# Polychromatic Colorings of Bounded Degree Plane Graphs

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## Abstract

A *polychromatic  $k$ -coloring* of a plane graph  $G$  is an assignment of  $k$  colors to the vertices of  $G$  such that every face of  $G$  has *all*  $k$  colors on its boundary. For a given plane graph  $G$ , we seek the *maximum* number  $k$  such that  $G$  admits a polychromatic  $k$ -coloring. We call a  $k$ -coloring in the classical sense (i.e., no monochromatic edges) that is also a polychromatic  $k$ -coloring a *strong polychromatic  $k$ -coloring*. In this paper, it is proven that every plane graph of maximum degree three, other than  $K_4$  (the complete graph on four vertices), admits a strong polychromatic 3-coloring. This initiates the study of strong polychromatic colorings of plane graphs. Moreover, our proof is constructive and implies a polynomial-time algorithm.

**Keywords:** Plane graphs, vertex coloring with constraints on the faces, bounded degree graphs.

# 1 Introduction

A *polychromatic  $k$ -coloring* (abbreviated *PkC*) of a plane graph  $G$  is an assignment of  $k$  colors to the vertices of  $G$  such that each face of  $G$  has *all*  $k$  colors on its boundary. More formally, a polychromatic  $k$ -coloring of a plane graph  $G$  is a function  $\varphi : V(G) \rightarrow \{1, \dots, k\}$ , such that for each face of  $G$  there exist  $k$  vertices  $\{u_1, \dots, u_k\}$  on its boundary with  $\varphi(u_i) = i$ , for  $i = 1, \dots, k$ . Note that a polychromatic  $k$ -coloring allows monochromatic edges. For a plane graph  $G$ , the *polychromatic number* of  $G$ , denoted  $\chi_f(G)$ , is the maximum number  $k$  such that  $G$  admits a polychromatic  $k$ -coloring. A  $k$ -coloring (in the classical sense) of a plane graph  $G$  that is also a polychromatic  $k$ -coloring of  $G$  is called a *strong polychromatic  $k$ -coloring* (abbreviated *SPkC*) of  $G$ .

Polychromatic colorings of plane graphs have several applications in combinatorial and computational geometry. A first example of the importance and usefulness of the concept of polychromatic coloring is Fisk's proof [6] to the classical art gallery theorem, first proved by Chvátal [3]. Given a polygon  $P$  with  $n$  vertices, a subset of its vertices is a *guarding set* for  $P$  if for any point in  $P$  there exists a guard (i.e., vertex) in the set that sees  $P$ . Chvátal proved that  $\lfloor \frac{n}{3} \rfloor$  guards are always sufficient and sometimes necessary to guard a simple  $n$ -gon. Fisk presented an elegant proof to this theorem based on a graph coloring argument (and the fact that every simple polygon admits a triangulation). He showed that any triangulated simple polygon is 3-colorable in the classical sense. So that given such a polygon and coloring, each of the triangular faces has three colors on its boundary. By picking the vertices associated with the least frequent color, one obtains a guarding set of size at most  $\lfloor \frac{n}{3} \rfloor$ . Following Fisk's proof, results for other guarding problems using similar arguments have been obtained (see, e.g., [10, 7]). Observe that the crucial property enabling the final step in Fisk's proof is that each triangle of the triangulated polygon has all three colors on its boundary. In general, the more colors one can use while still ensuring this property, the better is the upper bound on the sufficient size of a guarding set. It follows that the concept of polychromatic coloring is an important tool for guarding and covering problems.

Another application is the following. Consider a plane graph  $G$  whose faces represent the rooms of a museum. On each vertex of  $G$ , a single battery operated motion detector is placed. The battery has a limited life span, say one time unit. Consequently, once a detector is switched on, it can operate for a single time unit, during which it covers all the rooms that are adjacent to it. We would like to partition the detectors into as many disjoint subsets as possible, such that the detectors in each subset provide full coverage of the museum. Suppose that  $G$  admits a polychromatic  $k$ -coloring. By switching on the detectors associated with color  $i$  at time  $i - 1$ , we can ensure full coverage of the museum for  $k$  time units.

Yet another application of polychromatic coloring of plane graphs in the context of facility location is the following. Consider a plane graph  $G$  whose faces represent neighborhoods or regions that require access to several types of facilities. In addition, suppose that facilities can only be placed on vertices of the graph, and a region has access to a facility only if the latter is placed on its boundary. Given  $k$  types of facilities, one may want to verify if there exists an assignment of facilities to the vertices of  $G$ , such that each region has access to all types of facilities. A polychromatic  $k$ -coloring of  $G$ , if exists, would provide such an assignment.

In the context of facility location problems one may find applications for strong polychromatic colorings of plane graphs. For instance, consider the former facility location problem. Suppose that at least one of the  $k$  types of facilities is hazardous, i.e., a high radiation transmitter of cellular networks. Still, one would like every region to have access to this type of facility, yet due to safety considerations, it is important to ensure that every region would be exposed to a limited number of installations of this type of hazardous facility. A strong polychromatic  $k$ -coloring of the graph  $G$  modeling the regions, ensures that every region  $R$  of size  $r$  would have at most  $\frac{r-k+1}{2}$  installations of every type on its boundary. This would also provide a limit on the number of appearances of the hazardous facility on the boundary of every region. In addition, one can think of reasons why facilities of the same type should not be placed adjacent to one another. For instance, to avoid air pollution or over consumption of natural resources that are generated by a the same type of facility.

**Related Work.** Mohar and Škrekovski [9] proved that every simple plane graph admits a  $P2C$ . Their proof is short and relies upon the Four-Color Theorem for planar graphs. Bose *et al.* [2] provided an alternative proof of this result that does not rely on the Four-Color Theorem and yields a linear time algorithm for computing such a coloring.

Hoffmann and Kriegel [7] proved that all 2-connected plane bipartite graphs can be triangulated (by adding edges only) into a plane Eulerian triangulation. Since a plane *Eulerian triangulation* is 3-colorable in the classical sense [11] it follows that all 2-connected plane bipartite graphs admit a  $SP3C$ . Moreover, since the triangulation offered by Hoffmann and Kriegel [7] and 3-colorings of plane Eulerian triangulations can both be found efficiently [11], it follows that  $SP3C$ s of 2-connected bipartite graphs can be found efficiently.

Recently, a general and elegant result concerning polychromatic colorings of plane graphs was obtained by Alon *et al.* [1]. Let  $g$  be the length of a shortest face of a plane graph  $G$ . Clearly,  $\chi_f(G) \leq g$ . Alon *et al.* proved that for any plane graph  $G$  admits  $\chi_f(G) \geq \lfloor (3g - 5)/4 \rfloor$ . They show that this bound is sufficiently tight by presenting plane graphs for which  $\chi_f(G) \leq \lfloor (3g + 1)/4 \rfloor$ .

The lower bound of Alon *et al.* [1] on  $\chi_f(G)$  is nontrivial as long as  $g \geq 6$ . One may inquire regarding what can be said about  $\chi_f(G)$  if  $G$  is a plane graph with  $3 \leq g \leq 5$ ? In this paper we consider such graphs.

Our motivation for the study of polychromatic colorings originated from the following problem. A *rectangular partition* is a partition of a plane rectangle into an arbitrary number of non-overlapping rectangles, such that no four rectangles meet at a common point. One may view a rectangular partition as a plane graph whose vertices are the corners of the rectangles and edges are the line segments connecting these corners. Dinitz *et al.* [5] proved that every rectangular partition admits a polychromatic 3-coloring that may miss the outer face, and conjectured that every rectangular partition admits a polychromatic 4-coloring.

While working on this conjecture, Horev *et al.* [8] proved that every 2-connected cubic bipartite plane graph admits a polychromatic 4-coloring that may miss the outer face. This result is tight, since by Euler's formula any such graph contains at least six faces of size four. Recently, Dimitrov and the authors [4] presented a simple proof of the conjecture of Dinitz *et al.* [5]. The proof of this conjecture is based on the Four-Color Theorem for planar graphs.

**Our Result.** Let  $\Delta(G)$  denote the maximum degree of a graph  $G$ . Our main result is as follows.

**Theorem 1.1** *Let  $G$  be a simple plane graph with  $\Delta(G) \leq 3$ , other than  $K_4$ . Then  $G$  admits a strong polychromatic 3-coloring.*

Not all plane graphs admit a  $P3C$ . The complete graph on four vertices, namely  $K_4$ , and the wheel graph on  $k$  vertices, namely  $W_k$ , with  $k$  even does not admit a  $P3C$ . The graphs of Theorem 1.1 may contain faces of size three, four and five. Consequently, the result of Alon *et al.* [1] cannot be used on these graphs to obtain our result. Moreover, since the presence of faces of size three is allowed, our result is best possible in terms of the number of colors that can be used in a polychromatic coloring of such graphs.

Introducing vertices of higher degree to the graph generates interesting counter examples. For instance, allowing  $\Delta(G) \leq 4$  will require one to forbid  $K_4$  as a subgraph of  $G$ . Allowing  $\Delta(G) \leq 5$  introduces counter examples like  $W_6$ , and so on. Thus allowing higher vertex degrees introduces more complicated graph structures.

By Brooks' theorem (see Theorem 2.1) the graphs considered in Theorem 1.1 are 3-colorable in the classical sense. Theorem 1.1 joins the well-known notion of classical vertex coloring and polychromatic coloring in planar graphs. The connection between these two types of colorings is not trivial and thus this paper introduces the concept of strong polychromatic colorings in plane graphs. Until this result, the sole two graph families that were implicitly known to admit a strong polychromatic 3-coloring were Eulerian triangulations and 2-connected bipartite graphs (by the result of [7]). These families and our result provide a solid body of evidence that strong polychromatic colorings pose an independent interest. A natural question is to ask what other non-trivial families of plane graphs admit strong polychromatic  $k$ -coloring for non-trivial values of  $k$ . Also, one may ask what

are the sufficient conditions for a plane graph to admit a strong polychromatic  $k$ -coloring for some non-trivial value of  $k$ ?

Finally, it can readily be verified that our proof of Theorem 1.1 is constructive and implies a polynomial time algorithm for computing a  $SP3C$  of a graph upholding the terms of Theorem 1.1.

**Organization.** The rest of the paper is organized as follows. In Section 2, we describe the notation and basic results on which this paper relies upon. Section 3 contains a proof for Theorem 1.1. This proof is based upon Theorem 3.1 which is proved in Section 4.

## 2 Preliminaries

In this paper we consider only simple graphs. Also, a *plane graph* is called a *graph*. For a graph  $G$  let  $V(G)$  and  $E(G)$  denote the vertex and edge set of  $G$ , respectively. For a vertex  $u \in V(G)$ , let  $deg_G(u)$  denote the *degree* of  $u$  in  $G$ .

For a set  $X \subseteq V(G)$ , we write  $G - X$  to denote the subgraph induced from the vertex set  $V(G) \setminus X$ . In case the case that  $X = \{x\}$  we write  $G - x$ . For a set  $Y \subseteq E(G)$ , let  $G - Y$  denote the graph induced from the edge set  $E(G) \setminus Y$ . In the case that  $Y = \{y\}$  we write  $G - y$ .

Let  $E \subseteq V(G) \times V(G)$  be a set of pairs of vertices where each pair is either an edge of  $G$ , or not. We write  $G + E$  to denote the graph obtained by adding all the pairs of  $E$  as new edges to  $G$ . If  $E = \{e = uv\}$  we write  $G + e$ , or  $G + uv$ . In addition, for a vertex  $s \notin V(G)$  we write  $G + s$  to denote the graph with vertex set  $V(G) \cup \{s\}$  and edge set  $E(G)$ . The operation of *contracting* an edge  $e$  is the identification of the endpoints of  $e$  into a single vertex.

Let  $G$  be a connected graph. A vertex  $u \in V(G)$  is called a *cut-vertex* of  $G$  if  $G - u$  is disconnected. A pair of vertices  $\{u, v\} \in V(G)$  are called a *2-vertex-cut* if  $G - \{u, v\}$  is disconnected. A graph is said to be *2-connected* if it does not admit a cut-vertex. Analogously, an edge  $e \in E(G)$  is called a *cut edge* of  $G$  if  $G - e$  is disconnected. A pair of edges  $\{e_1, e_2\} \in E(G)$  are called a *2-edge-cut* if  $G - \{e_1, e_2\}$  is disconnected.

For a graph  $G$ , and a pair of vertices  $u, v \in V(G)$ , a simple path from  $u$  to  $v$  in  $G$  is called a *uv-path*. For a simple cycle  $C$  of  $G$  we write  $int(C)$  and  $ext(C)$  to denote the part of the plane that lies in the *interior* and the *exterior* of  $C$ , respectively. In addition, for a simple cycle  $C$ , and two vertices  $u, v \in V(C)$  such that  $uv \notin E(C)$ , the edge  $uv$  is called a *chord* of  $C$ .

For a face  $f$  of a graph  $G$ , let  $V(f)$  and  $E(f)$  denote the vertices and edges lying on the boundary of  $f$ . Two edges  $e, e' \in E(f)$  are called *consecutive on  $f$*  if these edges share a common endpoint  $u \in V(f)$ . Two vertices  $u, v \in V(f)$  are called *consecutive on  $f$*  provided that the edge  $uv \in E(f)$ . The boundary of  $f$  is a simple cycle  $C$  in  $G$ . The *interior* and *exterior* of the face  $f$ , denoted  $int(f)$  and  $ext(f)$ , are defined to be  $int(C)$  and  $ext(C)$ , respectively. For a face  $f$  of a graph  $G$ , a vertex  $u \in V(f)$  is said to be *incident* to  $f$ .

For a face  $f$  of a graph  $G$  the *length* or *size* of  $f$ , denoted  $|f|$ , is the value  $|V(f)|$ . A *k-face* is a face of size  $k$ . Two faces  $f_1$  and  $f_2$  are said to be *adjacent* if  $V(f_1) \cap V(f_2) \neq \emptyset$ . In this paper we consider graphs with bounded degree. Note that for a graph  $G$  with  $\Delta(G) \leq 3$ , two adjacent faces  $f_1$  and  $f_2$  cannot have  $|V(f_1) \cap V(f_2)| = 1$ .

A *k-coloring* of a graph  $G$  is a function  $\varphi : V(G) \rightarrow \{1, \dots, k\}$  such that for each edge  $uv \in E(G)$ ,  $\varphi(u) \neq \varphi(v)$ . Let  $\chi(G)$  denote the *minimum* number  $k$  such that  $G$  admits a  $k$ -coloring. A result by Brooks [11] that relates  $\Delta(G)$  with  $\chi(G)$  is the following theorem.

### Theorem 2.1 (Brooks 1941)

Let  $G$  be a connected graph other than  $K_{\Delta(G)+1}$  or an odd cycle. Then  $\chi(G) \leq \Delta(G)$ .

Let  $\varphi$  be a  $SkPC$  of a graph  $G$ . Let  $H$  be a proper subgraph of  $G$ . The graph  $H$  is said to *see* a set of colors  $S \subseteq \{1, \dots, k\}$  if for each color  $s \in S$  there exists a vertex  $u \in V(H)$  such that  $\varphi(u) = s$ . A face  $f$  of  $G$  is said to see a set of colors  $S$  if the simple cycle  $C$  defining the boundary of  $f$  sees  $S$ .

### 3 Proof of The Main Theorem

Our proof of Theorem 1.1 is done by induction. More specifically, we assume towards contradiction that Theorem 1.1 is false and consider a minimum counter example to the theorem. Theorem 3.1 lists structural characterizations of a minimum counter example to Theorem 1.1. In Section 4 we provide a proof for Theorem 3.1.

**Theorem 3.1** *Let  $G$  be a counter example to Theorem 1.1 minimizing the sum  $|V(G)| + |E(G)|$ . Then the following properties are upheld by  $G$ .*

- (a)  $G$  is 2-connected.
- (b)  $G$  is cubic.
- (c)  $G$  has no 4-faces.
- (d) Let  $f$  be a  $k$ -face of  $G$  such that  $k > 4$  and  $k$  is even. Let  $g$  be a  $k'$ -face adjacent to  $f$ . Then  $k' \geq 5$ .
- (e) Let  $f$  be a  $k$ -face of  $G$  such that  $k > 4$  and  $k$  is even. Then  $f$  admits no chords.

Relying on Theorem 3.1, we proceed with our proof for Theorem 1.1.

#### Proof of Theorem 1.1:

Assume towards contradiction that Theorem 1.1 is false, and let  $G$  denote a counter example to Theorem 1.1 minimizing  $|V(G)| + |E(G)|$ . One may assume that  $G$  is not an odd cycle, for then the claim follows. Also,  $G$  is not  $K_4$ . By Theorem 2.1  $\chi(G) \leq 3$ , hence it follows that  $G$  contains a face of even length; for otherwise any regular 3-coloring of  $G$  is a  $SP3C$  of  $G$  in contradiction to  $G$  being a counter example.

Let  $f$  be an even face of  $G$ . By Theorem 3.1(c),(d), and (e)  $|f| \geq 6$ , any face that is adjacent to  $f$  is a  $k$ -face,  $k \geq 5$ , and  $f$  admits no chords in  $G$ . Let  $E(f) = \{e_1, \dots, e_{|f|}\}$  be the edge set of  $f$  such that the  $i$ th edge is defined by  $e_i = u_i u_{i+1}$  (indices are taken modulo  $|f|$ ). For a vertex  $u_i \in V(f)$ , let  $v_i$  denote its third neighboring vertex. Two complementary cases are considered. Either (A) the face  $f$  does not admit two edges that form an edge cut in  $G$ , or (B) the face  $f$  admits at least one pair of edges that forms such a cut.

**Case (A).** Suppose no two edges of  $f$  form an edge cut in  $G$ . As  $G$  is 2-connected and cubic, and as  $f$  admits no chords, and  $f$  does not contain a 2-edge-cut then it follows that every edge  $e_i = u_i u_{i+1} \in E(f)$  lies in a distinct face  $f_i$ . Let  $G'$  be the graph obtained from  $G$  as follows. Remove the vertices  $V(f)$ . In the resulting graph  $H$ , the vertices  $v_i, i = 1, \dots, |f|$ , exist. Add to  $H$  a set of  $|f|/2$  edges  $E = \{v_i v_{i+1} : i \text{ is even}\}$ . Let  $G'$  be the resulting graph.

Let  $\mathcal{K}$  denote the set of all the faces  $f_i$  defined above. Let  $\mathcal{S} \subseteq \mathcal{K}$  denote the subset of faces  $f_i$  of  $G$  such that  $f_i \in \mathcal{S}$  provided that  $v_i v_{i+1} \in E$ . Let  $\mathcal{T} = \mathcal{K} \setminus \mathcal{S} = \{f_i : i \text{ is odd}\}$ . In  $G'$ , let  $f'_i$  denote the face formed from the face  $f_i \in \mathcal{S}$ . Since  $|f_i| \geq 5$ , then  $|f'_i| \geq 3$ . In  $G'$ , let  $f'$  denote the face defined by the edge set  $E$ , and the set of boundaries of the faces in the set  $\mathcal{T}$ . Let  $\mathcal{P}$  denote this set of vertex-disjoint boundaries, namely  $\mathcal{P} = \{P_i : P_i \text{ is a boundary of } f_i \in \mathcal{T}\}$ . The elements of the sets  $E$  and  $\mathcal{P}$  alternate across the boundary of  $f'$ .

By the minimality of  $G$  and Theorem 1.1, the graph  $G'$  admits a  $SP3C$   $\varphi$ . We extend  $\varphi$  to a  $SP3C$  of  $G$ , namely  $\chi$ . Returning to  $G$ , note that  $\varphi$  introduces three distinct colors to every face  $f_i \in \mathcal{S}$ . Thus, it remains to extend  $\varphi$  into a proper 3-coloring so that the face  $f$ , and every face  $f_i \in \mathcal{T}$  would see three distinct colors. Note that for every two distinct faces  $f_i, f_j \in \mathcal{T}$  the vertices  $u_i, u_{i+1}, u_j$  and  $u_{j+1}$  are four distinct vertices.

As  $\varphi$  is a 3-coloring every boundary  $P_i \in \mathcal{P}$  sees at least two distinct colors. Two boundaries in  $\mathcal{P}$  are said to be *adjacent* on  $f'$  if in  $G'$  there exist an edge in  $E$  connecting two of their endpoints. Since under  $\varphi$  every boundary in  $\mathcal{P}$  sees at least two colors then every two boundaries have at least one color in common. Two complementary cases are considered.

(A.I) Either there exist two adjacent boundaries, say w.l.o.g  $P_1$  and  $P_3$ , both seeing a common color

$c$  such that under  $\varphi$  the color  $c$  is assigned to one of the endpoints of the edge  $e = v_2v_3$ . W.l.o.g, assume that  $v_2$  is the vertex assigned this common color  $c$ .

(A.II) Or for every two adjacent boundaries the colors assigned to the endpoints of the edge in  $E$  connecting the boundaries are not seen by both boundaries.

**Case (A.I).** The vertices  $\{u_1, \dots, u_{|f|}\}$  are colored as follows, and the resulting coloring is denoted  $\chi$ . Start at  $u_3$  by defining  $\chi(u_3) = \varphi(v_2)$ . Proceed in a clockwise traversal on  $f$  to color the remaining vertices according to the following rule. Let  $u_i$   $i \neq 2, 3$  be the current vertex to be colored, and suppose that the vertices  $u_3, \dots, u_{i-1}$  have already been colored. The color  $\chi(u_i)$  is chosen to be distinct of the colors  $\{\chi(u_{i-1}), \varphi(v_i)\}$  such that the color missing from the face  $f_i$  (if  $i$  is odd) or  $f_{i-1}$  (if  $i$  is even) is assigned to  $u_i$  if this is possible. For  $u_2$  we define its color to be distinct of  $\{\chi(u_1), \varphi(v_2), \chi(u_3)\}$ . Recall that  $\varphi(v_2) = \chi(u_3)$ .

One may verify that  $\chi$  is a proper coloring of  $G$ . It remains to ensure that  $\chi$  is also 3-polychromatic. It is sufficient to consider the faces  $f_i \in \mathcal{T}$ , and the face  $f$ . Consider a face  $f_i \in \mathcal{T}$  such that  $i \neq 1$ . Suppose that  $u_i$  is not assigned the color missing from  $f_i$ . In that case the missing color from  $f_i$  is assigned to  $u_{i+1}$  (indices are taken modulo  $|f|$ ). For the face  $f$  we argue as follows. When the above coloring of the vertex set  $V(f)$  is done the face  $f$  sees at least two distinct colors. It remains to show that a third color can be introduced to the face  $f$ .

Suppose that after the above coloring of  $V(f)$  is completed the face  $f$  is 2-colored and, w.l.o.g, sees only the colors 2 and 3 on its vertices. It is proven that the color 1 can be introduced to the face  $f$ . Since  $\varphi$  is a proper coloring then among the vertices  $\{v_i : 1 \leq i \leq |f|\}$  there exists a vertex  $v_i$  such that  $\varphi(v_i) \neq 1$ . Either  $f_i \in \mathcal{T}$  or  $f_{i-1} \in \mathcal{T}$ . Assume, w.l.o.g, that the face  $f_i \in \mathcal{T}$ . Since  $f$  is 2-colored then the colors  $\chi(u_i), \chi(u_{i-1})$  and  $\chi(u_{i+1})$  are all distinct from the color 1. Consequently, if it is not possible to change the color assigned to  $u_i$  to the color 1 then it follows that  $\chi(u_i)$  is the color missing from the boundary  $P_i$ . If so, the color  $\chi(u_{i+1})$  is not missing from  $P_i$ . If it is not possible to change the color of  $u_{i+1}$  to 1 then this is since  $\varphi(v_{i+1}) = 1$ . Consequently, since  $\varphi$  is a proper coloring then  $\varphi(v_{i+2}) \neq 1$ , and the same arguments hold for the face  $f_{i+2} \in \mathcal{T}$ . It follows if every vertex  $u_i$  cannot change its colors to 1 then every face  $f_i \in \mathcal{T}$  obeys the following terms. (i)  $\varphi(v_i) \neq 1$ , and (ii)  $\chi(u_i)$  is missing from  $P_i$ , and (iii)  $\chi(u_{i+1})$  appears on  $P_i$ , and (iv)  $\varphi(v_{i+1}) = 1$ .

Recall that the face  $f$  is 2-colored with the colors 2 and 3. For a face  $f_i \in \mathcal{T}$  assume, w.l.o.g, that  $\chi(u_i) = 3$ . By (ii) above 3 is a color missing from  $P_i$ . However, since  $f$  is 2-colored under  $\chi$  it follows that  $\chi(u_{i+2k}) = 3$  for  $1 \leq k \leq |f|/2$  (and indices taken modulo  $|f|$ ). Moreover,  $\chi(u_{i+2k})$  is the color missing from the boundary  $P_{i+2k}$ . Consequently, the color 3 is missing from every boundary in  $\mathcal{P}$ . This is a contradiction to  $\varphi$  being 3-polychromatic.

**Case (A.II).** The argument in this case proceeds in several stages. First, we define a coloring for the vertex set  $V(f)$  that may result in edges in  $E(f)$  being monochromatic, and may have the face  $f$ , and faces in  $\mathcal{T}$  without three distinct colors. The second stage is to repair the resulting coloring and extend it to a  $SP3C$  of  $G$ .

Let  $\chi$  denote the coloring obtained as follows. Let  $e = v_i v_{i+1} \in E$ . Define  $\chi(u_i) = \varphi(v_{i+1})$  and  $\chi(u_{i+1}) = \varphi(v_i)$ . This is the first stage. As mentioned above the coloring  $\chi$  may be invalid. We fix  $\chi$  into a valid coloring.

**(i) Monochromatic edges in  $E(f)$ .** Suppose  $\chi$  admits monochromatic edges on  $f$ . Let  $e_i = u_i u_{i+1}$  be such an edge. By the definition of  $\chi$  the edge  $e_i$  does not belong to any faces in  $\mathcal{S}$ . Let  $f_i \in \mathcal{T}$  such that  $e_i \in E(f_i)$ . Note that  $\varphi(v_i) = \chi(u_{i-1})$ . Consequently, one may change the color of  $u_i$  to a third color not seen by its neighbors. Also, note that if in  $\chi$  the face  $f_i$  does not see three distinct colors then  $u_i$  can assume the color missing from  $f_i$ . Let  $\chi'$  denote the coloring resulting from applying the above correction to every monochromatic edge in a clockwise traversal along  $f$ . In the coloring  $\chi'$  there are no monochromatic edges in  $E(f)$ . Moreover, any face  $f_i \in \mathcal{T}$  that in  $\chi$  had  $u_i u_{i+1}$  monochromatic, in  $\chi'$   $f_i$  sees three distinct colors. This is so as under  $\chi$  the colors  $\chi(u_i) = \chi(u_{i+1}) = \varphi(v_{i-1}) \neq \varphi(v_i) = \chi(u_{i-1})$ . Hence, under  $\chi$  the face  $f_i$  sees two colors on the

vertices  $v_i, u_i$  and  $u_{i+1}$ . When the color of  $u_i$  is changed it is picked such that it is distinct of the colors  $\{\varphi(v_i) = \chi(u_{i-1}), \chi(u_{i+1})\}$ . This change introduces a third color to  $f_i$ .

**(ii) Introducing three distinct colors to faces in  $\mathcal{T}$ .** Under the coloring  $\chi'$  it is still possible that there are faces  $f_i \in \mathcal{T}$  that see only two colors. Moreover, it is possible that several adjacent faces in  $\mathcal{T}$  all see the same two colors. Consider a maximal sequence  $S = \{f_i, f_{i+2}, \dots, f_m\}$  of adjacent faces in  $\mathcal{T}$  that see the same two colors, say 1 and 2. The sequence  $S$  defines a path on  $f$ , namely  $Q = \langle u_i, u_{i+1}, u_{i+2}, \dots, u_{m+1} \rangle$ , such that under  $\chi'$  the path  $Q$  is 2-colored using the colors 1 and 2. The set of all such maximal sequences of adjacent faces in  $\mathcal{T}$  defines a division of the vertex set  $V(f)$  into a set of vertex disjoint paths. This division is not necessarily a partition of  $V(f)$ .

Consider the path  $Q$ . This path is maximal in the sense that it does not contain vertices from faces in  $\mathcal{T}$  that see three distinct colors under  $\chi'$ . In addition, the color  $\chi'(u_{i-1}) \neq 3$ . This is so since the vertex  $u_{i-1}$  cannot assume the color 3 from the coloring argument that defined  $\chi$ . In addition, the color of  $u_{i-1}$  could not have been changed in step (i) into 3 for even if the edge  $u_{i-2}u_{i-1}$  was monochromatic under  $\chi$ , it is the vertex  $u_{i-2}$  that changes its color in (i) and not  $u_{i-1}$ . This cannot be said regarding the vertex  $u_{m+2}$ . Consequently, consider the path  $Q'$  which is a subpath of  $Q$  defined as  $Q' = \langle u_i, u_{i+1}, u_{i+2}, \dots, u_m \rangle$  (i.e.,  $u_{m+1}$  is dropped in  $Q'$ ). Note that  $|Q|$  is even, and thus  $|Q'|$  is odd. Changing the color of every other vertex on  $Q'$  starting at  $u_i$  to the color 3 introduces three distinct colors to every face in the sequence  $S$ . Applying this argument to every such maximal sequence introduces three colors to every face in  $\mathcal{T}$  that prior to that saw only two colors. In addition, no face that sees three colors under  $\chi'$  have the color of its vertices changed by this argument. Define  $\chi''$  to be the resulting coloring of this correction step.

**(iii) Three colors for  $f$ .** It remains to prove that  $f$  sees three colors. By the definition of  $\chi$  the face  $f$  sees at least two colors under  $\chi$ . If step (i) is ever applied then  $f$  sees three colors. This is so since if step (i) is applied to a monochromatic edge  $e_i = u_i u_{i+1}$  then its corresponding face in  $f_i \mathcal{T}$  sees three colors due to the correction at  $e_i$ . Consequently, in  $f$  the vertices  $u_{i-1}, u_i$ , and  $u_{i+1}$  are colored in three distinct colors. Thus if step (ii) is never applied then the claim follows for  $f$ . If step (ii) is applied observe that the coloration of the vertices  $u_{i-1}, u_i$ , and  $u_{i+1}$  is left unchanged.

Consequently, one may assume that under  $\chi$  there are no monochromatic edges in  $E(f)$ , and that  $f$  sees exactly two colors, say 1 and 2. By the definition of  $\chi$  it follows that since  $f$  is 2-colored then the vertex set  $\{v_i\}_{i=1}^{|f|}$  is also 2-colored. Consequently, since  $\varphi$  is 3-polychromatic then there is at least one boundary in  $\mathcal{P}$  that sees three colors under  $\varphi$ . Hence, there exists at least one face  $f_i \in \mathcal{T}$  that sees three colors under  $\chi$ . Since  $f$  sees only the colors 1 and 2, then the face  $f_i$  contributes the colors 1 and 2 to  $f$  already in  $\chi$ . If step (ii) is applied then the color 3 is introduced to  $f$  without changing the coloration of the face  $f_i$ . Hence, it remains to consider the case that under  $\chi$  the face  $f$  has no monochromatic edges, and that every face in  $\mathcal{T}$  sees all three colors on its boundary in  $\mathcal{P}$ , i.e., steps (i) and (ii) were never applied, and still  $f$  sees only the colors 1 and 2. As noted the boundary  $P_1$  sees all three colors. Changing the color of the vertex  $u_1$  to 3 completes the proof.

**Case (B).** We proceed to the case where there exist two edges of  $E(f)$  that form an edge cut of  $G$ . Let  $e_1 = u_1 v_1$  and  $e_2 = u_2 v_2$  be such two edges. Due to Theorem 3.1(a) and (b) the edges  $e_1$  and  $e_2$  are not consecutive on  $f$ . Figure 1(a) depicts the edges  $e_1$  and  $e_2$ , and the relative positioning of the vertices  $\{u_i, v_i\}$  for  $i = 1, 2$ . Let  $P$  denote the  $v_1 v_2$ -path along the boundary of  $f$  such that  $e_1, e_2 \notin E(P)$ . Similarly, let  $Q$  be the  $u_1 u_2$ -path along the boundary of  $f$  such that  $e_1, e_2 \notin E(Q)$ . Since  $|f| \geq 6$  then at least one of the paths just defined has length at least three. Assume, w.l.o.g, that  $|Q| \geq 3$ . The graph  $G - \{e_1, e_2\}$  contains two components. Let  $D_1$  denote the component containing the vertices  $u_1$  and  $u_2$ , and let  $D_2$  be the component containing the vertices  $v_1$  and  $v_2$ . Define  $G_1 = D_1 + u_1 u_2$ . Since  $f$  is chordless, and  $|Q| \geq 3$  then  $G_1$  is simple. In  $G_1$ , the edge  $u_1 u_2$ , and the path  $Q$  define a face of size at least three. Suppose that  $v_1 v_2 \notin E(f)$ , and define  $G_2 = D_2 + v_1 v_2$ . Figure 1(b) depicts the graphs  $G_1$  and  $G_2$ . Since  $f$  is chordless, and since that in this case  $|P| \geq 3$  then the graph  $G_2$  is simple. By the minimality of  $G$ , both of the graphs  $G_1$  and  $G_2$  admit  $SP3Cs$ , namely  $\varphi_1$  and  $\varphi_2$ ,

of  $G_1$  and  $G_2$ , respectively. In addition, since  $u_1u_2 \in E(D_1)$  and  $v_1v_2 \in E(D_2)$  then  $\varphi_1(u_1) \neq \varphi_1(u_2)$  and  $\varphi_2(v_1) \neq \varphi_2(v_2)$  and thus using color renaming on  $\varphi_2$  these two colorings can be combined to a  $SP3C$  of  $G$ . If however,  $v_1v_2 \in E(f)$  then define  $G_2 = D_2$  and repeat the argument of the previous case. ■

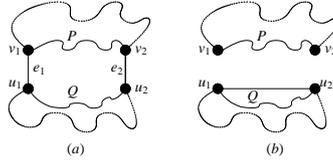


Figure 1: (a) The edges  $e_1$  and  $e_2$  on  $f$ . (b) Separation to the graphs  $G_1$  and  $G_2$ .

## 4 Properties of a Minimum Counter Example

In this section we provide a proof for Theorem 3.1. We consider the structure of a counter example  $G$  to Theorem 1.1 minimizing the sum  $|V(G)| + |E(G)|$ . Also, **through this entire section we write  $G$  to denote such a counter example.** Theorem 3.1(a) can easily be verified and thus omitted. Consequently, this section is organized as follows. In Section 4.1, we consider a proof for Theorem 3.1(b). In Section 4.2, we prove Theorem 3.1(c). In Section 4.3 we prove Theorem 3.1(d), and in Section 4.4 we prove Theorem 3.1(e).

### 4.1 Proof of Theorem 3.1(b)

**Theorem 3.1(b)**  $G$  is cubic.

**Proof:** Let  $v$  be a degree two vertex in  $G$ , and let  $u$  and  $w$  be its two neighbors in  $G$ . If the vertices  $\{u, v, w\}$  do not induce a 3-face in  $G$ , then contract the edge  $uv$ . By the minimality of  $G$ , the resulting graph admits a  $SP3C$ , which is easily extended to a  $SP3C$  of  $G$ . If  $\{u, v, w\}$  induce a 3-face in  $G$  then the edge  $uv$  can not be contracted (for that results in a 2-face). If  $\deg_G(u) = \deg_G(w) = 2$  then  $G$  is a 3-cycle, and the claim follows. In addition, one may assume that  $\deg_G(u) = \deg_G(w) = 3$ , for if only one of the vertices  $u$  or  $w$  is a degree three vertex then this vertex is a cut-vertex of  $G$ ; contradiction to Theorem 3.1(a).

Let  $z$  and  $x$  denote the third neighbors of  $u$  and  $w$ , respectively. The vertices  $z$  and  $x$  are distinct. If  $z = x$  and of degree two the claim follows. If  $z = x$  and of degree three then this forms a cut-vertex in  $G$ ; a contradiction to Theorem 3.1(a). Consequently,  $z \neq x$ . Let  $G' = G - \{u, v, w\}$ . If  $G'$  consists of the single edge  $zx$ , then  $G$  is a graph on five vertices, that admits a  $SP3C$ . Thus one may assume that  $G'$  is of order at least three. By the minimality of  $G$ , the graph  $G'$  admits a  $SP3C$ , namely  $\varphi$ . Assume, w.l.o.g, that  $\varphi(z) = 1$ . We extend the coloring  $\varphi$  into a  $SP3C$  of  $G$  as follows. (i) if  $\varphi(x) \neq 3$  then color the vertices  $u, v, w$  with the colors 2, 1, 3, respectively. (ii) if  $\varphi(x) = 3$  then color the vertices  $u, v, w$  with the colors 3, 1, 2, respectively. ■

### 4.2 Proof of Theorem 3.1(c)

We proceed to proving Theorem 3.1(c). To facilitate the proof of Theorem 3.1(c) it is proven that a 3-face and a 4-face cannot be adjacent in  $G$ .

**Lemma 4.1** *The graph  $G$  does not contain a 3-face and a 4-face that are adjacent.*

**Proof:** Assume towards contradiction that the claim is false. Let  $f$  be a 4-face with vertices  $u_i$ ,  $i = 1, \dots, 4$ . Since by Theorem 3.1(b)  $G$  is cubic, it follows that the number of 3-faces that are adjacent to  $f$  is either one or two. We proceed with a case analysis on the number of 3-faces adjacent to  $f$ .

**Case (1):** Suppose  $f$  has two adjacent 3-faces  $g$  and  $h$ . One may assume that  $g$  and  $h$  are not adjacent to one another; for otherwise the vertex  $u_i$  of  $f$  that is not incident to the faces  $g$  and  $h$ , forms a cut-vertex of  $G$ , negating Theorem 3.1(a). Thus, the 3-faces  $g$  and  $h$  are incident to opposite edges  $u_1u_2$  and  $u_3u_4$ , respectively, of the 4-face  $f$ . Let  $v$  be the third vertex of  $g$  not incident to  $f$ . Similarly, let  $w$  be the third vertex of  $h$  not incident to  $f$ . Figure 2(a) depicts the faces  $f$  and  $g$  in this case. By Theorem 3.1(b), the vertices  $v$  and  $w$  are both of degree three. In addition, one may assume that the edge  $vw \notin E(G)$ ; for otherwise  $G$  is a six vertex graph that admits a  $SP3C$ , and the claim follows. Let  $v'$  and  $w'$  denote the neighboring vertices of the vertices  $v$  and  $w$ , respectively, that are not incident to the face  $f$ . By Theorem 3.1(a) it follows that  $v' \neq w'$ .

Let  $G' = G - \{v, u_1, u_2, u_3, u_4, w\}$ . By Theorem 3.1(b) the vertices  $v'$  and  $w'$  are of degree three in  $G$ . Thus,  $G'$  is of order at least three. By the minimality of  $G$ , the graph  $G'$  admits a  $SP3C$ , namely  $\varphi$ . The coloring  $\varphi$  is extended to a  $SP3C$  of  $G$  as follows. Assume, w.l.o.g, that  $\varphi(v') = 1$ . (i) if  $\varphi(w') = \varphi(v') = 1$  then color the vertices  $\{v, u_1, u_2, u_3, u_4, w\}$  with the colors 2, 1, 3, 2, 1, 3, respectively. (ii) Otherwise, w.l.o.g,  $\varphi(w') = 2$ . In this case, color the vertices  $\{v, u_1, u_2, u_3, u_4, w\}$  with the colors 2, 1, 3, 3, 2, 1, respectively.

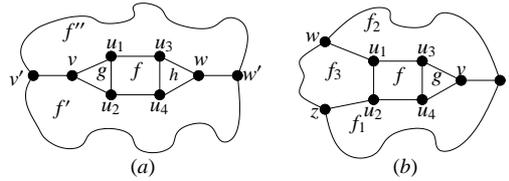


Figure 2: (a) The faces  $g$  and  $h$  are adjacent to opposite edges of the face  $f$ . (b) The faces  $f$  and  $g$  in Case (2).

**Case (2):** Consider the complementary case in which the face  $f$  is adjacent to exactly one 3-face  $g$ . Let  $u_3, u_4$  and  $v$  the vertices defining the face  $g$  where  $v \notin V(f)$ . Also, let  $w$  and  $z$  be the vertices adjacent to the vertices  $u_1$  and  $u_2$  such that  $w, z \notin V(f)$ . By the previous case,  $w \neq z$ , and by Theorem 3.1(b), the vertices  $w, z$  and  $v$  are of degree three. Figure 2(b) depicts the faces  $f$  and  $g$  in this case. We use the vertex name labeling in Figure 2(b) to refer to vertices in this case. Thus, there exists a vertex  $r$  that is adjacent to the vertex  $v$ . By Theorem 3.1(a)  $G$  is 2-connected, hence, if  $r = w$ , but  $r \neq z$ , then it follows be that the edge  $zr \in E(G)$ , for otherwise the vertex  $z$  is a cut-vertex. In this case the claim follows as  $G$  is a graph on six vertices that admits a  $SP3C$ . Thus, one may assume that the vertices  $r, z$ , and  $w$  are three distinct vertices.

Let  $G' = G - \{v, u_1, u_2, u_3, u_4\}$ . By the minimality of  $G$ , the graph  $G'$  admits a  $SP3C$ , namely  $\varphi$ . Assume, w.l.o.g, that  $\varphi(r) = 1$ . The coloring  $\varphi$  is extended into a  $SP3C$  of  $G$  as follows. (i) if  $\varphi(z) = \varphi(w) = \varphi(r)$  then color the vertices  $\{v, u_1, u_2, u_3, u_4\}$  with the colors 3, 2, 3, 1, 2, respectively. (ii) if  $\varphi(z) \neq \varphi(w) \neq \varphi(r)$ , assume, w.l.o.g, that  $\varphi(w) = 2$  and  $\varphi(z) = 3$ . In this case, color the vertices  $\{v, u_1, u_2, u_3, u_4\}$  with the colors 2, 1, 2, 3, 1, respectively. (iii) if  $\varphi(z) = \varphi(r) \neq \varphi(w)$ , assume, w.l.o.g, that  $\varphi(w) = 2$ , and color the vertices  $\{v, u_1, u_2, u_3, u_4\}$  with the colors 3, 3, 2, 2, 1, respectively. The case where  $\varphi(w) = \varphi(r) \neq \varphi(z)$  is symmetric and thus omitted. (iv) if  $\varphi(z) = \varphi(w) \neq \varphi(r)$ , assume, w.l.o.g, that  $\varphi(z) = \varphi(w) = 2$ . Color the vertices  $\{v, u_1, u_2, u_3, u_4\}$  with the colors 3, 1, 3, 2, 1, respectively. ■

Let  $\{u, v\}$  be a 2-vertex-cut of a connected graph  $G$  such that  $uv \in E(G)$ , and  $G - \{u, v\}$  contains exactly two components  $C_1$  and  $C_2$ . Define  $C'_i = C_i + \{u, v\} + uv$ , for  $i = 1, 2$ . The graphs  $C'_i$  are said to be obtained from  $G$  by *separating  $G$  along the edge  $uv$* . We proceed with proving that  $G$  does not contain a 4-face.

**Theorem 3.1(c)**  $G$  does not contain 4-faces.

**Proof:** Assume towards contradiction that  $G$  contains a 4-face  $f$ . Let  $u_i, i = 1, \dots, 4$ , denote the vertices of  $f$ , and let  $v_i, i = 1, \dots, 4$  denote the neighboring vertices of the vertices of  $f$ , such that for each  $i = 1, \dots, 4$ , the edge  $u_i v_i \in E(G)$ . By Theorem 3.1(b) and Lemma 4.1, the vertices  $v_i$  exist and are all distinct. Two cases are considered. Either there exist two edges of  $V(f)$  that form an edge cut, or not.

**Case (1):** Assume the edges  $\{u_1 u_3\}$  and  $\{u_2 u_4\}$  form an edge cut in  $G$ . Note that any two non-adjacent vertices of  $f$  form a separation pair of  $G$ . Consider the vertices  $\{u_1, u_4\}$  as such a pair. We decompose  $G$  into the following two graphs. Consider the graph  $G' = G + u_1 u_4$ . In  $G'$ , the face  $f$  is triangulated, containing the triangles defined by the vertex sets  $\{u_1, u_2, u_4\}$ , and  $\{u_1, u_3, u_4\}$ . Separate  $G'$  along the edge  $u_1 u_4$ , and let  $G_1$  be the part of  $G'$  containing the vertex  $u_2$ , and let  $G_2$  be the part of  $G'$  containing the vertex  $u_3$ . Also, in the graph  $G_2$ , let  $u'_1$  and  $u'_4$  denote the copies of the vertices  $u_1$  and  $u_4$  in this graph.

Each of the graphs  $G_i, i = 1, 2$ , upholds the terms of Theorem 1.1, and thus by the minimality of  $G$ , the graph  $G_i$  admits a  $SP3C$   $\varphi_i$ , for  $i = 1, 2$ . The combinations of the two colorings  $\varphi_i$  into a single consistent  $SP3C$  of  $G$  is straightforward.

**Case (2):** Let  $f_i, i = 1, \dots, 4$ , be the four adjacent faces to  $f$ . Figure 3(a) depicts the face  $f$  and the faces  $f_i$  in this case. By Lemma 4.1, the length  $|f_i| \geq 4$ , for  $i = 1, \dots, 4$ . We proceed with a case analysis on the sizes  $|f_i|$ .

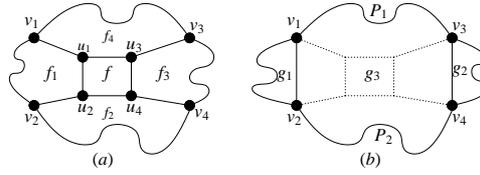


Figure 3: (a) The face  $f$  and its adjacent faces  $f_i$  in Case (2). (b) The graph  $G'$  of Case (2.a).

**Case (2.a):** Suppose that two opposite adjacent faces of  $f$  are of size strictly larger than four. W.l.o.g, suppose  $|f_1| > 4$  and  $|f_3| > 4$ . Consider the graph  $G' = G - V(f) + v_1 v_2 + v_3 v_4$ . Figure 3(b) depicts this construction. Let  $g_i, i = 1, 2, 3$ , be the faces of  $G'$  as shown in Figure 3(b). Note that  $G'$  upholds the terms of Theorem 1.1. Thus, by the minimality of  $G$ , and Theorem 1.1, the graph  $G'$  admits a  $SP3C$   $\varphi$ . It remains to extend the coloring  $\varphi$  into a  $SP3C$  of  $G$ . One may observe that the same arguments used for proving Theorem 1.1, also apply here. For the sake of completeness, we provide a direct proof. Assume, w.l.o.g, that  $\varphi(v_1) = 1$ . We proceed with a case analysis over the colors assigned to the vertices  $v_2, v_3$  and  $v_4$  under  $\varphi$ . (i) Suppose that  $\varphi(v_1) = \varphi(v_3)$ . If  $\varphi(v_2) = \varphi(v_4)$ , assume, w.l.o.g, that  $\varphi(v_2) = 2$ , and color the vertices  $\{u_1, u_2, u_3, u_4\}$  with colors 2, 3, 3, 1 respectively. If  $\varphi(v_2) \neq \varphi(v_4)$ , assume, w.l.o.g, that  $\varphi(v_2) = 2$  and  $\varphi(v_4) = 3$ . In this case color the vertices  $\{u_1, u_2, u_3, u_4\}$  with colors 2, 3, 3, 1. (ii) Suppose  $\varphi(v_1) \neq \varphi(v_3)$ , and assume, w.l.o.g,  $\varphi(v_3) = 2$ . If  $\varphi(v_2) = \varphi(v_4)$ , then it follows that  $\varphi(v_2) = \varphi(v_4) = 3$ . Color the vertices  $\{u_1, u_2, u_3, u_4\}$  with colors 3, 1, 1, 3, respectively. Next consider the case in which  $\varphi(v_2) \neq \varphi(v_4)$ . If  $\varphi(v_2) = 2$  and  $\varphi(v_4) = 1$ , color the vertices  $\{u_1, u_2, u_3, u_4\}$  with colors 3, 1, 2, 3, respectively. Otherwise, assume, w.l.o.g, that  $\varphi(v_2) = 3$  and  $\varphi(v_4) = 1$ . In this case, color the vertices  $\{u_1, u_2, u_3, u_4\}$  with colors 3, 2, 1, 3, respectively.

**Case (2.b):** By the previous case, one may assume that no two opposite adjacent faces of  $f$  are of size strictly larger than four. Consequently, it follows that there exist two non-opposite adjacent faces of  $f$  of size four. At least one of the faces adjacent to  $f$  is of size greater than four, for otherwise,  $G$  is a graph on eight vertices that admits a  $SP3C$ . Assume, w.l.o.g, that  $|f_1| > 4, |f_3| = |f_4| = 4$ . The

faces  $f_i$  are presented in Figure 4(a).

Consider the graph  $G' = G - \{u_1, u_2, u_3, u_4, v_3\} + v_1v_2 + v_1v_4$ . The graph  $G'$  is of lesser size than  $G$ , and upholds the terms of Theorem 1.1, thus there exists a  $SP3C$   $\varphi$  of  $G'$ . Assume, w.l.o.g. that  $\varphi(v_1) = 1$  and  $\varphi(v_2)=2$ . (i) if  $\varphi(v_4) = 3$  color the vertices  $\{u_1, u_2, u_3, u_4, v_3\}$  with colors 3, 1, 1, 2, 2, respectively. (ii) if  $\varphi(v_4) = 2$  color the vertices  $\{u_1, u_2, u_3, u_4, v_3\}$  with colors 2, 1, 1, 3, 3, respectively. ■

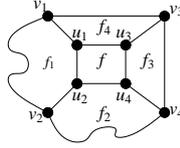


Figure 4: The face  $f$  and its adjacent faces  $f_i$  in Case (2.b).

### 4.3 Proof of Theorem 3.1(d)

In this section Theorem 3.1(d) is proven. By Theorem 3.1(c), the graph  $G$  contains no 4-face. Thus, in order to prove Theorem 3.1(d) it remains to eliminate adjacencies between 3-faces and even faces of length strictly larger than four. In this section, a  $k$ -face with even  $k$  is called an *even  $k$ -face*.

The following lemmas facilitate the proof of Theorem 3.1(d). Lemma 4.2 considers even  $k$ -faces,  $k > 4$ , with two adjacent 3-faces. We prove that these 3-faces cannot be adjacent to one another.

**Lemma 4.2** *Let  $f$  be an even  $k$ -face of  $G$ ,  $k > 4$ , having  $f_1$  and  $f_2$  two 3-faces adjacent to  $f$ . Then  $f_1$  and  $f_2$  are not adjacent to one another.*

**Proof:** Assume towards contradiction that the claim is false. Let  $\{x, y, w\}$  and  $\{y, z, w\}$  be the vertices of  $G$  defining the faces  $f_1$  and  $f_2$ , respectively, such that the vertices  $\{x, y, z\}$  are vertices of the face  $f$ . The vertices  $\{x, y, z\}$  are consecutive on the boundary of  $f$ . Figure 5(a) depicts these vertices and faces. Let  $\ell$  and  $r$  denote the vertices of  $f$  that are adjacent to the vertices  $x$  and  $z$  on the boundary of  $f$ , respectively.

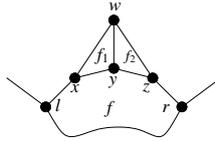


Figure 5: The faces  $f$ ,  $f_1$ , and  $f_2$ .

Let  $G'$  be the graph obtained from  $G$  by identifying the vertices  $x, y, z, w$  into a single new vertex  $u$  (and removing all self-loops). By the minimality of  $G$ , the graph  $G'$  admits a  $SP3C$ , namely  $\varphi$ . Assume, w.l.o.g. that  $\varphi(u) = 1$ . To extend the coloring  $\varphi$  to  $G$ , color the vertices  $x, y, z, w$  with colors 1, 2, 1, 3 respectively. ■

The following lemma completes the case analysis initiated by Lemma 4.2.

**Lemma 4.3** *The graph  $G$  does not admit a 3-face  $f$  defined by the vertices  $\{u_1, u_2, u_3\}$ , such that the following terms hold.*

- (a) *The face  $f$  has three adjacent faces  $f_i$ ,  $i = 1, 2, 3$ , with  $|f_i| \geq 4$ , and*
- (b) *The faces  $f_i$  are pairwise adjacent. (See Figure 6(a)).*

**Proof:** Assume towards contradiction that the claim is false. Due to the existence of the faces  $f_i$ , there exist vertices  $v_i$ ,  $i = 1, 2, 3$ , such that  $v_i$  is the third neighboring vertex of  $u_i$  and the vertex

$v_i \notin V(f)$ . One may assume that at least one of the faces  $f_i$  is not a 4-face, for otherwise  $G$  is a graph on six vertices that admits a  $SP3C$ . Assume, w.l.o.g, that the face  $f_1$  is a  $k$ -face, with  $k > 4$ . Also, let  $v_1$  and  $v_2$  lie on the boundary of  $f_1$ . See Figure 6(a) for an illustration.

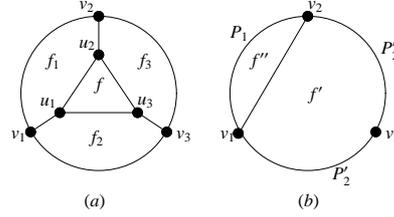


Figure 6: (a) The faces  $f$ , and  $f_i$ ,  $i = 1, 2, 3$  of Lemma 4.3. (b) The faces  $f'$  and  $f''$  of the graph  $G'$ .

Let  $G' = G - \{u_1, u_2, u_3\} + v_1v_2$ . Note that the graph  $G'$  upholds the terms of Theorem 1.1, and thus, by the minimality of  $G$ , the graph  $G'$  admits a  $SP3C$   $\varphi$ . We extend  $\varphi$  to a  $SP3C$  of  $G$ .

In the graph  $G'$ , there exists a  $v_1v_2$ -path, namely  $P_1$ , not containing the edge  $v_1v_2$ . Also, there exists a  $v_1v_2$ -path, namely  $P_2$ , containing the vertex  $v_3$ . These two paths and the edge  $v_1v_2$  define two faces  $f'$  and  $f''$  in  $G'$ . These paths and faces are illustrated in Figure 6(b). Since  $\varphi$  is a  $SP3C$  of  $G'$ , the vertices of both the paths  $P_1$  and  $P_2$  are colored in three distinct colors. Let  $P'_2$  and  $P''_2$  be the  $v_1v_3$ -path and  $v_3v_2$ -path, respectively, such that  $P'_2$  and  $P''_2$  are subpaths of  $P_2$ . Assume, w.l.o.g, that  $\varphi(v_1) = 1$  and  $\varphi(v_2) = 2$ . Returning to  $G$ , we observe that the face  $f_1$  already sees three distinct colors. If  $\varphi(v_3) = 3$  color the vertices  $u_1, u_2, u_3$  with the colors 2, 3, 1 respectively. If  $\varphi(v_3) \neq 3$ , Assume, w.l.o.g., that  $\varphi(v_3) = 1$ . In this case, color the vertices  $u_1, u_2, u_3$  with the colors 2, 1, 3 respectively

The following corollary is obtained from Lemma 4.3.

**Corollary 4.4** *Let  $f$  be an even  $k$ -face of  $G$ ,  $k > 4$ . Then no 3-face is adjacent to  $f$*

**Proof:** Assume towards contradiction that there exists an even  $k$ -face  $f$ ,  $k > 4$ , and a 3-face  $g$  such that  $g$  is adjacent to the face  $f$ . By Theorem 3.1(b), exactly two of the vertices of  $g$  lie on  $f$ , and all the vertices of  $g$  are of degree three. Let  $u_1$  and  $u_2$  denote these vertices. Let  $u_3$  denote the third vertex of  $g$  not lying on  $f$ . Let  $v_i$  denote the third neighboring vertex of the vertex  $u_i$ , for  $i = 1, 2, 3$ . The vertices  $v_1, v_2 \in V(f)$ . Since  $|f| > 4$ , then  $v_1 \neq v_2$ .

Two complementary cases are considered. Either the vertex  $v_3 \notin V(f)$  or  $v_3 \in V(f)$ . If the  $v_3 \notin V(f)$  then let  $P_1$  denote the path in  $G$  defined by the sequence of vertices  $\langle v_1, u_1, u_3, v_3 \rangle$ , and let  $P_2$  denote the path in  $G$  defined by the sequence of vertices  $\langle v_2, u_2, u_3, v_3 \rangle$ . By Theorem 3.1(a), there exist two faces, namely  $f_1$  and  $f_2$ , such that  $P_i$  forms part of the boundary of the face  $f_i$ , for  $i = 1, 2$ . Note that  $|f_1|, |f_2| \geq 4$ ,  $|f| > 4$  and  $|g| = 3$ . Hence we get a contradiction to Lemma 4.3.

The complementary case in which  $v_3 \in V(f)$ , can be solved using similar arguments as in the proof of Theorem 3.1(e), and thus omitted. ■

Finally, the combination of Theorem 3.1(c) and Corollary 4.4 yields Theorem 3.1(d).

#### 4.4 Proof of Theorem 3.1(e)

**Theorem 3.1(e).** *Let  $f$  be an even  $k$ -face of  $G$  such that  $k > 4$ . Then  $f$  is chordless.*

**Proof:** Assume towards contradiction that  $f$  admits a chord  $uv \in E(G)$ . The vertex set  $\{u, v\}$  defines a separation pair of  $G$ . Let  $P_{uv}$  be the  $uv$ -path on  $f$  that connects  $u$  and  $v$  in clockwise direction starting at  $u$ . Similarly, let  $P_{vu}$  be the  $vu$ -path along  $f$  connecting  $v$  and  $u$  in clockwise direction starting at  $v$ . Assume, w.l.o.g, that  $P_{vu}$  is embedded in the interior of the cycle  $uv + P_{uv}$ . By Theorem 3.1(b)  $|P_{vu} - \{u, v\}| \geq 2$ . Define  $P' = P_{uv} \setminus u, v$ . By the simplicity of  $G$  and Theorem 3.1(a)  $|P'| \geq 2$ . Let  $p$

and  $q$  be the endpoints of  $P'$  such that  $\{up, vq\} \subset E(G)$ . The edge set  $\{up, vq\}$  forms an edge cut of size two in  $G$ . Two complementary cases are considered. Either  $pq \in E(G)$  or not.

In the latter case, define  $G' = G - \{up, vq\}$ . The graph  $G'$  contains exactly two connected components  $K_1$  and  $K_2$  such that  $\{u, v\} \subset E(K_1)$ , and  $\{p, q\} \subset E(K_2)$ . Define  $K'_1 = K_1 + z + uz + vz$ , where  $z \notin E(K_1)$ , and  $z$  is embedded in the exterior of  $X_{K_1}$ , and such that  $P_{vu} + uz + zv$  forms a face in  $K'_1$ . In addition, define  $K'_2 = K_2 + pq$ , such that  $pq + P'$  forms a face in  $K'_2$ . Note that both of the graphs  $K'_1$  and  $K'_2$  are of maximum degree at most three, and are simple. By the minimality of  $G$  the graphs  $K'_1$  and  $K'_2$  admit two colorings  $\varphi_1$  and  $\varphi_2$ , respectively, such that  $\varphi_i$  is a  $SP3C$  of  $K_i$ ,  $i = 1, 2$ . In  $K'_1$  the vertices  $\{u, v, z\}$  form a 3-cycle. Consequently, the colors under  $\varphi_1$  to  $\{u, v, z\}$  are distinct. In addition, it is clear that  $\varphi_2(p) \neq \varphi_2(q)$ . Define  $\varphi_3$  to be a coloring of  $K_2$  obtained from  $\varphi_2$  by denoting the color  $\varphi_2(p)$  by the name  $\varphi_1(v)$ , and the color  $\varphi_2(q)$  by the name  $\varphi_1(z)$ . The combination of  $\varphi_1$  and  $\varphi_3$  defines the requested coloring for  $G$ .

Consider the complementary case where  $pq \in E(G)$ . By Theorem 3.1(c) the edge  $pq$  cannot form a chord such that in  $G$  the chord  $uv$  is embedded in the interior of the cycle  $P' + pq$ . Consequently, either  $pq \in E(f)$ , in which case  $P' = pq$ , or  $pq$  forms a chord of  $f$  such that the cycle  $P' + pq$  does not contain the chord  $uv$  in its interior. In the former case, applying the arguments shown above for  $K'_1$  and  $K'_2$  to  $K_1$  and  $K_2$  hold as well. In the latter case, the path  $P'' = P' - \{p, q\}$  has length at least two. In addition, the path  $Q = P_{vu} - \{u, v\}$  also has length at least two. Let  $p'$  and  $q'$  be the endpoints of  $P''$  where  $\{pp', q'q\} \subset E(G)$ , and let  $u'$  and  $v'$  be the endpoints of  $Q$  where  $\{uu', v'v\} \subset E(G)$ . Consider the graph  $G' = G - \{u, v, p, q\} + \{u'p', v'q'\}$ . One may verify that  $G'$  upholds the terms of our inductive claim. Consequently,  $G'$  admits a  $SP3C$ , namely  $\varphi$ . The coloring  $\varphi$  is extended to a  $SP3C$  of  $G$ , namely  $\varphi'$ , as follows. First, define  $\varphi'(u) = \varphi(p')$  and  $\varphi'(p) = \varphi(u')$ . Note that  $\varphi'(u) \neq \varphi'(p)$ , and this accounts for two colors. It remains to argue that the third color can be assigned to either  $v$  or  $q$ . Since at most one of the vertices  $v'$  and  $q'$  is assigned the third color under  $\varphi$ , one can assign the third color to either  $v$  or  $q$ . The remaining vertex has to have its color distinct of three vertices two of which bare the same color, and thus the claim follows. ■

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