

On r -connected graphs with no semi-topological r -wheel

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Abstract

A semi-topological r -wheel, denoted by S_r , is a subdivision of the r -wheel preserving the spokes; the paper describes the r -connected graphs having no S_r -subgraphs. For $r > 3$, these are shown to be only $K_{r,r}$, while the class \mathcal{H} of 3-connected S_3 -free graphs is unexpectedly rich.

First, every graph G in \mathcal{H} has an efficiently recognizable set of “contractible edges” (sometimes empty) such that a contraction minor G/F belongs to \mathcal{H} if and only if F is a part of this set. So, the subclass \mathcal{H}^0 of ante-contraction members of \mathcal{H} plays a key role.

Second, the members of \mathcal{H}^0 have 3-edge cuts. The familiar cactus representation of minimum edge cuts (E. Dinitz *et al.*. In: *Issledovaniya po Diskretnoy Optimizatsii* (A. A. Friedman, ed.), “Nauka”, Moscow, pp. 290-306, 1976 (Russian); also A. Schrijver. Combinatorial Optimization (Polyhedra and Efficiency), *Algorithms and Combinatorics*, Vol. 24, Springer, 2003, p. 253) maps \mathcal{H}^0 onto the class of trees whose internal vertices have even degrees, equal to 6 for any vertex adjacent to a leaf. The description of \mathcal{H}^0 (quite concise as expressed in appropriate terms) refers to the explicit reconstruction of the reverse image of such a tree.

We also derive the upper bound $(2r - 3)(n - r + 1)$ on the number of edges in an arbitrary n -vertex S_r -free graph, $r \geq 4$, and conjecture that its maximum equals $(r - 1)(n - r + 1) + \lfloor \frac{r-1}{2} \rfloor$.

1 Introduction

An r -wheel with subdivided rim, denoted by S_r and called *semi-topological r -wheel*, appeared on the scene with the query about the maximal number $\text{ex}(n, r)$ of edges in an n -vertex graph having no S_r -subgraph. Development of this matter initiated with finding $\text{ex}(n, 2) = \lfloor \frac{3(n-1)}{2} \rfloor$ [2, 1]; after the problem was formulated for general r and $\text{ex}(n, r)$ shown to grow linearly in n [1], two subsequent values was found: $\text{ex}(n, 3) = 2n - 3$ by C. Thomassen [8], together with a description of the extremal graphs, and $\text{ex}(n, 4) = 3n - 8$ by E. Horev [5], achieved by only $K_{3, n-3} + e$, the edge appended to the part of size 3. At this point the lack of a general view became perceptible. At least two general facts concerning the S_r -free graphs, $r \geq 3$, are however obvious. First, such graphs are interesting only when they are nonplanar. Indeed, any vertex of a planar 3-connected graph is the hub of an S_r -subgraph with $r = d(v)$, namely, the union of the face circuits incident with v (see, e. g., [3], Corollary 10.8); thus, a planar graph has an S_r -subgraph if and only if it has a vertex of degree at least r . Second, Dirac’s theorem: *any r vertices of an r -connected graph lie on a circuit* (1952, see [3]), implies that a graph with no S_r -subgraph cannot be $(r + 1)$ -connected.

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The aim of the present work is to extend the latter fact by describing the r -connected S_r -free graphs for $r \geq 3$. Except for the case $r = 3$, the class of such graphs is trivial:

Theorem 1.1 *For $r \geq 4$, the only r -connected graph with no S_r -subgraph is $K_{r,r}$.*

Two proofs of this fact are presented in Section 4; one is quite short while the other one goes into deeper detail in order to fit countably infinite graphs as well. As an elementary consequence of this theorem, a bound $\text{ex}(n, r) \leq (2r - 3)(n - r + 1)$ is derived in Subsection 4.2. We also conjecture that $\text{ex}(n, r) = (r - 1)(n - r + 1) + \lfloor \frac{r-1}{2} \rfloor$, with the only extremal graph $K_{r-1, n-r+1} + M$ where M is a maximal matching on $(r - 1)$ -part of $K_{r-1, n-r+1}$, extrapolating the results [8, 5].

Let now \mathcal{H} denote the class of 3-connected S_3 -free graphs. It clearly contains $K_{3,3}$, and it is a pleasant exercise to construct other examples of cubic S_3 -free graphs thereof. In general, however, nothing could be said about \mathcal{H} except that all these graphs are nonplanar, with the number of edges lying within the bounds $3|V|/2$ (due to the connectivity) and Thomassen's $2|V| - 3$.

A detailed structure of \mathcal{H} is presented by Theorems 1.2, 1.3 and 1.4 below; we outline now this result with minimal background, adjourning details to Sections 2 and 3. Consider \mathcal{H} as consisting of a certain part \mathcal{H}^0 and the graphs reachable by an edge contracting process starting in \mathcal{H}^0 :

$$(1) \quad G_0 \text{ belongs to } \mathcal{H}^0, \quad G_i = G_{i-1}/e_i, \quad i = 1, 2, \dots$$

where e_i is a *contractible* edge of G_{i-1} , that is, such that G_{i-1}/e_i belongs to \mathcal{H} (provided G_{i-1} does so). A description of \mathcal{H}^0 , together with a characterization of the contractible edges of a member of \mathcal{H} , would then serve as a dynamical description of \mathcal{H} . The reality has surpassed these hopes. Namely, denote by E^0 the set of edges of a given $G \in \mathcal{H}$ belonging to no nontrivial 3-disconnector (a disconnector $D \subset V \cup E$ is *trivial* if $G - D$ has an isolated vertex), and by V^0 the set of vertices incident with E^0 . It turns out that contractible are exactly the edges of $G - V^0$ (Claim 3.4), and that process (1) preserves the graph $G^0 = (V^0, E^0)$ (Claim 3.5). The latter property immediately implies

Theorem 1.2 *Let \mathcal{H}^0 be any subclass of \mathcal{H} such that every graph in $\mathcal{H} \setminus \mathcal{H}^0$ has the form G/e where G is a member of \mathcal{H} and $e \in E(G)$. Then \mathcal{H} consists of the graphs G/F where G ranges over \mathcal{H}^0 , and F is an arbitrary set of edges of $G \setminus V^0$.*

It is then natural to take for \mathcal{H}^0 the class of *nonsplittable* members of \mathcal{H} , meaning that any split of a vertex into two adjacent ones (the reverse of edge contraction) expels such a graph from \mathcal{H} (by producing a 2-disconnector or an S_3 -subgraph). The nonsplittable members of \mathcal{H} are characterized by Claims 3.6 and 3.7 implying a concise description of these graphs in terms of their 3-edge cuts. In general, the least-size cuts of any graph $G = (V, E)$ are represented by a certain cactus \mathcal{T} (= graph whose blocks are circuits and copies of K_2) and a map $\phi: V \rightarrow V(\mathcal{T})$, such that $\delta(X)$ is a least-size cut in G if and only if \mathcal{T} has a cocircuit cutting $\phi(X)$ from $\phi(V \setminus X)$ (see [4] and also [7], p. 253). For graphs of odd edge connectivity, such a cactus is always a tree, and this *minimum cut tree* (MCT, for brevity) is unique, under the reasonable agreement to avoid 2-valent vertices in \mathcal{T} except for those belonging to $\phi(V)$. We will see that the members of \mathcal{H}^0 have edge connectivity 3; for such graphs, the following direct construction of MCT is convenient.

3-CUT TREE. Given a graph $G = (V, E)$ of edge connectivity 3, consider the collection \mathcal{Z} of sets $X \subset V$ with $d(X) = 3$, clearly cross-free (see, e. g., [7]), meaning that for any $X, Y \in \mathcal{Z}$ at least one of the four sets: $X \cap Y$, $X \setminus Y$, $Y \setminus X$ and $V \setminus (X \cup Y)$, is empty. Consider the subsets $\mathcal{X} \subseteq \mathcal{Z}$ satisfying $X \cap X' = \emptyset$ for any $X, X' \in \mathcal{X}$, call them \mathcal{Z} -subpartitions, and define a partial order $\mathcal{X} \preceq \mathcal{Y}$ between \mathcal{Z} -subpartitions meaning that for each $X \in \mathcal{X}$ there is $Y \in \mathcal{Y}$ such that $X \subseteq Y$. According to this order, the maximal \mathcal{Z} -subpartitions are just the pairs $\{X, V \setminus X\}$, that is, the 3-cuts.

Let now \mathbf{C} denote the set of \mathcal{Z} -subpartitions \mathcal{X} satisfying $X \cup X' \neq V$ for any $X, X' \in \mathcal{X}$ and \preceq -maximal subject to that. It is easy to see that $\mathcal{X} \in \mathbf{C}$ if and only if the graph G/\mathcal{X} obtained by shrinking the members of \mathcal{X} is essentially 4-edge connected [3]. As important examples, let us mention (i) a \mathcal{Z} -subpartition of the form $\{X\}$, belonging to \mathbf{C} if and only if $V \setminus X$ is an inclusion-minimal member of \mathcal{Z} , and (ii) a \mathcal{Z} -subpartition \mathcal{X}_v , $v \in V$, \preceq -maximal subject to $\cup \mathcal{X} \subseteq V \setminus \{v\}$. Since \mathcal{Z} is cross-free, \mathcal{X}_v is unique and indeed belongs to \mathbf{C} . If v belongs to an inclusion-minimal $X \in \mathcal{Z}$ (in particular, if $d(v) = 3$) then $\mathcal{X}_v = \{V \setminus X\}$, otherwise \mathcal{X}_v coincides with the set of all $X \in \mathcal{Z}$ inclusion-maximal subject to $v \notin X$.

The following property is essential.

(2) any member of \mathcal{Z} belongs to a unique \preceq -maximal \mathcal{Z} -subpartition, namely, the one of the form $\mathcal{X} = \{X\} \cup \mathcal{Y}$ where \mathcal{Y} is the collection of all inclusion-maximal sets $Y \in \mathcal{Z}$ satisfying

(*) $Y \cap X = \emptyset$ and $Y \cup X \neq V$.

To see this, note first that $\{X\} \cup \mathcal{Y}$ belongs to \mathbf{C} . Indeed, for each $Y_1, Y_2 \in \mathcal{Y}$ one has $Y_1 \cup Y_2 \subseteq V \setminus X \neq V$ whence $Y_1 \cap Y_2 = \emptyset$, because \mathcal{Z} is cross-free and the members of \mathcal{Y} are maximal. The \preceq -maximality follows from the above requirements: the members of \mathcal{Y} are inclusion-maximal subject to (*), and \mathcal{Y} includes every such set from \mathcal{Z} .

The uniqueness of \mathcal{X} follows from its maximality: if $\mathcal{X}' = \{X\} \cup \mathcal{Y}'$ satisfies * then each $Y' \in \mathcal{Y}'$ is contained by a set from \mathcal{Z} inclusion-maximal subject to *, that is, by a member of \mathcal{Y} , whence $\mathcal{X}' \preceq \mathcal{X}$.

For a graph of edge connectivity 3, the MCT \mathcal{T} is uniquely defined by the following rules. To avoid confusion, the vertices of \mathcal{T} are referred to as *nodes*.

(MCT.1) The node-set of \mathcal{T} is \mathbf{C} , and its edges are the pairs $\{X, V \setminus X\}$, $X \in \mathcal{Z}$; such an edge links the members of \mathbf{C} containing X and $V \setminus X$.

(MCT.2) The map ϕ is given by $\phi(v) = \mathcal{X}_v$.

CUBOID GRAPHS. \mathcal{H}^0 certainly contains the cubic members of \mathcal{H} , but not only: Claim 3.7 states that nonsplittable are also certain non-cubic graphs, suggesting the following

DEFINITION A.

(A.1) An essentially 4-edge connected graph G is *cuboid* if each its vertex of degree $m > 3$ belongs to an induced $K_{2,m}$ -subgraph J such that $\delta(V(J))$ is an m -matching, and $G - V(J)$ is 2-connected.

(A.2) In general, a 3-connected graph G is *cuboid* if its edge connectivity is 3 and in its MCT:

(A.2.1) each leaf is a 1-subset $\{V \setminus \{v\}\}$ of \mathcal{Z} where v is a vertex of degree 3, and

(A.2.2) for each interior node \mathcal{X} , the essentially 4-edge connected graph G/\mathcal{X} is cuboid.

Every essentially 4-edge connected cuboid graph is obtainable from a cubic one by iterating the following operation. Choose a chordless circuit C of length at least 4 spanned by the vertices of degree 3, such that $\delta(V(C))$ is a matching and $G - V(C)$ is 2-connected, add two new vertices, say u and v , and replace the edges of C with the edge-set of the complete bipartite graph on the colour classes $V(C)$ and $\{u, v\}$.

A tree T is isomorphic to MCT of a cuboid graph if and only if its interior node degrees are even. ‘‘Only if’’ follows from Definition A: since the vertices of degree greater than 3 in a cuboid graph appear in pairs as specified in (A.1), each interior node \mathcal{X} of the MCT has $d_G(V \setminus \cup \mathcal{X})$ even, whence $|\mathcal{X}|$ is always even. Conversely, given such a tree T , the cuboid graphs with MCT isomorphic to T are as follows.

Choose an interior vertex t of T and an arbitrary essentially 4-edge connected cuboid graph H with exactly $p = d_T(t)$ vertices of degree 3. If T is a star, we are done: the MCT of H is a star isomorphic to T . Otherwise, consider the subtrees T_i of T , $i = 1, \dots, p$, satisfying $T = \cup_{1 \leq i \leq p} T_i$ and $T_i \cap T_j = \{t\}$ for $i \neq j$; for each i , choose a cuboid graph H_i with the MCT isomorphic to T_i and denote by u_i its vertex represented by the leaf t (by (A.2.1)).

Choose an ordering v_i , $i = 1, \dots, p$, of the vertices of H of degree 3, and construct G by stepwise substituting $H_i - u_i$ for v_i . The latter means removing u_i and v_i and linking their neighbourhoods by an arbitrary 3-matching.

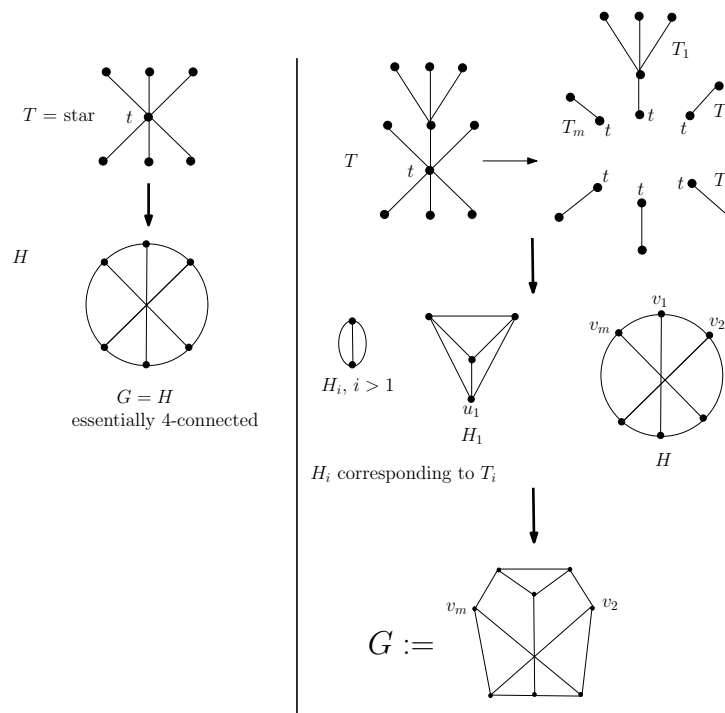


Figure 1: Cuboid graphs with a given 3-cut-tree.

Theorem 1.3 *A 3-connected graph is a nonsplittable member of \mathcal{H} if and only if it is cuboid and in its MCT the nodes adjacent to leaves have degree 6.*

At the opposite extreme, Claim 3.4 implies that

- (3) the noncontractible members of \mathcal{H} are exactly those whose set $V \setminus V^0$ is stable.

Due to its role, the subgraph G^0 of a 3-connected S_3 -free graph deserves more detailed clarification. Since G^0 coincides with the similar subgraph of a more transparent nonsplittable ancestor of G (see Claim 3.5), it is natural to describe G^0 in terms of $J \in \mathcal{H}^0$ such that $G = J/F$.

Theorem 1.4 *Let an S_3 -free graph G of connectivity 3 be a contraction minor of a member J of \mathcal{H}^0 , and consider \mathcal{Z} , \mathbf{C} , and \mathcal{T} related to J . Put $\hat{\mathbf{C}} := \{\mathcal{X} \in \mathbf{C}: |\mathcal{X}| = 6\}$, and for each $\mathcal{X} \in \hat{\mathbf{C}}$, denote by $S_{\mathcal{X}}$ the subgraph of J/\mathcal{X} induced by the short edges. Then*

(1.4.1) *for arbitrary $\mathcal{X} \in \mathbf{C}$, $J/\mathcal{X} \cong K_{3,3}$ if and only if $\mathcal{X} \in \hat{\mathbf{C}}$;*

(1.4.2) *a subgraph of G is a component of G^0 if and only if it coincides with some $S_{\mathcal{X}}$, $\mathcal{X} \in \hat{\mathbf{C}}$; thus, a component of G^0 is isomorphic to an edge-induced subgraph of $K_{3,3}$; in particular, $S_{\mathcal{X}} \cong K_{3,3}$ implies $G = J = S_{\mathcal{X}}$.*

Whenever possible, our terminology and notation follow [3]; otherwise, the source is [7]. A graph (not necessarily simple) is called k -connected if any two its vertices are linked by k internally disjoint paths (thus, e. g., an n -vertex graph with any pair of vertices linked by p edges is $(n+p-2)$ -connected). It is convenient (and not contradictory) to regard the one-vertex graph as 2-connected (not as in [3]).

Given a connected graph $G = (V, E)$, a set $D \subset V \cup E$ is a *disconnector* if $G - D$ is disconnected, and is a k -disconnector if $|D| = k$.

Given a subpartition \mathcal{X} of the vertex-set of G , we denote by G/\mathcal{X} the graph obtained by shrinking the members of \mathcal{X} (the edges spanned by no member of \mathcal{X} are preserved and may become parallel).

For a subset U of vertices, $N(U)$ and $\delta(U)$ denote, respectively, the set of vertices in $V \setminus U$ adjacent to U and the set of edges between U and $N(U)$.

For a subgraph H of G , the boundary of H , denoted by $\text{bnd}_G H$ (or simply by $\text{bnd} H$), is the set of vertices of H incident with $E(G) \setminus E(H)$, and $H - \text{bnd}_G H$ (even if empty) is denoted by $\text{int}_G H$ (or $\text{int} H$). An induced subgraph with the same vertex set as H is denoted by $G(H)$.

For a set $F \subseteq E$, $V(F)$ denotes the set of vertices incident with F , and $G(F) := (V(F), F)$.

2 Separation

For a graph $G = (V, E)$, the absence of an S_r -subgraph with hub v means that $|N(v) \cap C| < r$ for any circuit C in $G - v$. When G is r -connected, this situation may always be certified by a separator, as is described in this section.

2.1 Circuit-separator alternative

A pair (X, F) with $X \subset V$ and $F \subseteq E(G - X)$ is a *separator* if the number of components of $G - X - F$ is greater than the *capacity*

$$(4) \quad \gamma(X, F) := |X| + \sum \left\{ \lfloor \frac{1}{2} |\text{bnd}_{G-X} Q| \rfloor : Q \text{ is a component of } G(F) \right\},$$

coinciding with the key parameter in Mader's internally disjoint paths theorem (see e. g., [7], page 1282, Corollary 73.2a). Given a set $A \subseteq V$, we refer to a circuit containing A as an *A-circuit*, and specify (X, F) as an *A-separator* if A meets more than $\gamma(X, F)$ components of $G - X - F$. Clearly, a pair G, A cannot admit both an *A-circuit* and an *A-separator*; in general, however, neither of them may exist, except for quite special cases when the "circuit-separator alternative" does hold. The simplest such case is presented by the following generalization of well-known Whitney's theorem (case $|A| = 2$) and the Dirac theorem quoted above.

Theorem 2.1 (D. M. Mesner and M. E. Watkins [9].) *The circuit-separator alternative holds for the pairs (G, A) , $A \subseteq V$, satisfying $|A| \leq \kappa(G) + 1$. In such a case, together with an *A-separator* (X, F) , the graph contains a subdivision of a complete bipartite graph with the colour classes A and Y where $X \subseteq Y \subseteq X \cup V(F)$ and $|Y| = |A| - 1$.*

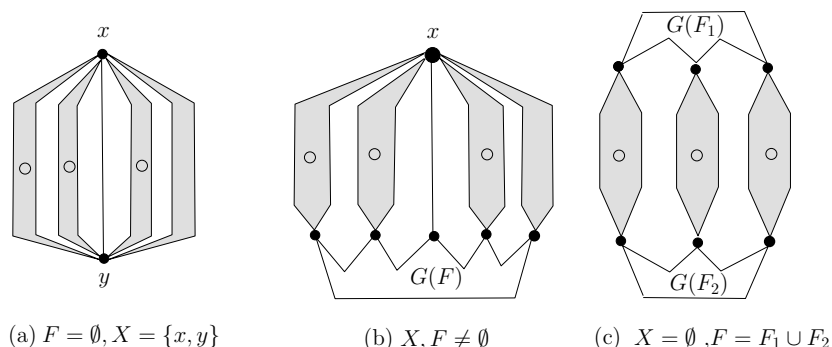


Figure 2: Forms of a separator; the one presented by (b) is not an *A-separator* for $A = \{\circ, \circ, \circ\}$ but becomes one after extending F .

An A -separator (X, F) in an $(|A| - 1)$ -connected graph has $A \cap (X \cup V(F)) = \emptyset$, for otherwise a circuit containing $A' := A \setminus (X \cup V(F))$ exists by Dirac's theorem, and may always be chosen so as contain A . When $\kappa \geq 3$ and $|A| = \kappa + 1$, an A -separator is unique and has $F = \emptyset$.

When $\kappa(G) = 2$ and $|A| = 3$, an A -separator with F inclusion-minimal is unique; in this case F is not necessarily empty but the components of $G(F)$ are 2-connected. For 2-connected graphs, the assertion of Theorem 2.1 is derivable from the following useful and familiar¹ (though seemingly never published) fact:

Theorem 2.2 *A 3-connected graph has no circuit containing a given set $A \subset V \cup E$ of size 3 if and only if A consists of edges, and either is a cut or a claw, or contains two parallel edges.*

For our purpose, Theorem 2.1 should be extended so as to characterize the pairs G, U with $\kappa(G) = s$ and $U \subseteq V(G)$, $|U| > s$, satisfying

$$(5) \quad |U \cap C| \leq s, \text{ for every circuit } C \text{ in } G.$$

With respect to this issue, the graphs of connectivity 2 appreciably differ from 3-connected ones. The case $s \geq 3$ is completely characterized by the following

Corollary 2.3 *For $s \geq 3$, (5) holds if and only if G has a U -separator X , $X \subseteq V \setminus A$, $|X| = s$. Such a separator coincides with the A -separator for an arbitrary $A \subseteq U$, $|A| = s + 1$; in particular, each component of $G - X$ contains at most one vertex from U , and G contains a subdivision of a complete bipartite graph with the colour classes U and X .*

Proof. The ‘‘if’’ part is obvious. To show ‘‘only if’’, choose $A \subseteq U$, $|A| = s + 1$, and let $\mathcal{X} = (X, \emptyset)$ be an A -separator, by Theorem 2.1. Let J_i , $i = 1, 2, \dots$, be the components of $G - \mathcal{X}$ meeting U , and suppose, to the contrary, that $|U \cap J_1| > 1$. Choose $a_i \in A \cap J_i$, $1 \leq i \leq s$, and also $a_0 \in U \cap J_1 \setminus \{a_1\}$ and put $B := \{a_i : 0 \leq i \leq s\}$. By Theorem 2.1, G has a B -separator, say Y . Then the set $C := A \cap B$, of size $s \geq 3$, belongs, as a colour class, to two subgraphs homeomorphic to $K_{s,s}$, say R and S , whose opposite colour classes are X and Y respectively. Since any two members of C are disconnected in $G - X$, this happens in $S - X$ as well, so that $Y \subseteq X$. Thus $Y = X$, and the assertion follows. ■

2.2 Case $\kappa = 2$

Though this case is also covered by Theorem 2.1, the notion of separator is now not so directly adaptable to setting (5) as we have in Corollary 2.3. Luckily, a weaker notion of U -frame is sufficient for our purpose, due to the properties presented in Theorem 2.4 and Claim 2.5 below. Certain new notions are however needed.

A 2-connected graph is a union of subgraphs to which we refer as hammocks. A *hammock* in G is a connected subgraph whose boundary consists of two vertices referred to as *ends*. An edge $e \in E$ together with the ends, and also $G - e$ are hammocks distinguished as *trivial*; by the Menger theorem, a graph has no *nontrivial* hammock if and only if it is 3-connected. Two hammocks are *internally disjoint* if their intersection consists of their common ends.

An end-to-end path in a hammock will be called a *through path*. Being not necessarily 2-connected, a hammock becomes such after an ear linking its ends is appended (because its block tree is always a path). Equivalently,

$$(6) \quad \text{any edge of a hammock lies on a through path.}$$

Let now G be 2-connected, with a set $U \subseteq V$ satisfying (5) with $s = 2$, and let A be an arbitrary 3-subset of U . Suppose that G has no A -circuit. Instead of Theorem 2.1, this fact may be testified by applying Theorem 2.2, as follows. Refer to the hammocks of G satisfying

$$(7) \quad |A \cap \text{int}H| \leq 1$$

¹See, e. g., [6] Chapter 6, Exercise 67. We are grateful to the referee who has informed us of this reference.

as A -hammocks, and let an A -hammock satisfying (7) with equality be called *heavy*. It is immediately seen that a heavy A -hammock H has no end in A , so that $|H \cap A| = 1$. For collections $\mathcal{L}, \mathcal{L}'$ of pairwise internally disjoint A -hammocks, let $\mathcal{L} \preceq \mathcal{L}'$ mean that each $H \in \mathcal{L}$ is a subgraph of some $H' \in \mathcal{L}'$; so, a collection \mathcal{L} is \preceq -maximal if $\mathcal{L} \preceq \mathcal{L}'$ implies $\mathcal{L} = \mathcal{L}'$. Choose an arbitrary \preceq -maximal collection of A -hammocks and replace each its member with an edge having the same ends. Denote by $G' = (V', E')$ the resulting graph, and by A' the subset of $V' \cup E'$ composed of $A \cap V'$ and the edges representing the heavy A -hammocks. Clearly, G' is 2-connected, $|A'| = 3$, and G' has no A' -circuit, by (6). Now Theorem 2.2 can be applied, because actually G' is 3-connected. Indeed, otherwise G is either a triangle with the edge-set A' or a union of two nontrivial hammocks with the same ends. Since $|A'| = 3$, in the second case the interior of at least one of the hammocks contains at most one member of A' , contradicting the definition of G' .

By Theorem 2.2, G' has no A' -circuit if and only if A' is either a cut, or a claw, or a triple of parallel edges (the latter corresponds to the third case of the theorem, because if two edges in A' are parallel then the rest of G' is an A' -hammock with the same ends). Translating this back to the initial G suggests the following notion paraphrasing the Watkins-Mesner A -separator for some 3-subset A of U . Its relation to the setting (5) is given by Theorem 2.4 below.

DEFINITION B. Let $G = (V, E)$ be 2-connected, and U be a subset of V satisfying (5). A pair $\mathcal{X} = (X, F)$, where $X \subset V$ and $F \subset E(G - X)$, is called a U -frame if the subgraph $G(\mathcal{X}) := (X \cup V(F), F)$ has exactly two components, and G is the union of $G(\mathcal{X})$ and a collection \mathcal{B} of at least three hammocks satisfying the following four conditions:

- (B.1) a member of \mathcal{B} has the ends in distinct components of $G(\mathcal{X})$;
- (B.2) $U \cap \text{int}H \neq \emptyset$ for at least three $H \in \mathcal{B}$;
- (B.3) if $X = \emptyset$ then $|\mathcal{B}| = 3$; and
- (B.4) \mathcal{B} is \preceq -maximal subject to (B.1-3).

The members of \mathcal{B} are referred to as \mathcal{X} -hammocks. Due to the maximality of \mathcal{B} , a frame with $F \neq \emptyset$ has the following three easily checkable properties:

- (B.5) the ends of any two \mathcal{X} -hammocks in the same component of $G(F)$ are distinct;
- (B.6) the components of $G(F)$ are 2-connected; and
- (B.7) a subgraph obtained from G by removing the interiors of some $|\mathcal{B}| - 2$ \mathcal{X} -hammocks is 2-connected.

REMARK. As long as graphs of connectivity 2 are deal with, we may assume U to be a subset of $V \cup E$, without any essential change except that if U contains an edge e then an \mathcal{X} -hammock containing e may be trivial.

Given an U -frame \mathcal{X} , denote by H_u the \mathcal{X} -hammock whose interior contains a member u of U . By the following theorem, H_u exists for any U -frame and $u \in U$, but $H_u = H_v$ may occur for $u \neq v$. We say that a U -frame *isolates* a vertex $u \in U$ if $U \cap \text{int}H_u = \{u\}$. A U -frame isolating each member of U , and thereby being a common A -separator for any triple $A \subset U$, will be called *perfect*.

Theorem 2.4 *A subset U of vertices of a 2-connected graph G satisfies (5) if G has a U -frame \mathcal{X} with the properties*

- (2.4.1) *each member of U belongs to the interior of some \mathcal{X} -hammock, and*
- (2.4.2) *every through path of an \mathcal{X} -hammock traverses at most one member of U ,*
and only if U -frames exist, and every U -frame satisfies (2.4.1-2).

Proof. To show “if”, let $\mathcal{X} = (X, F)$ be a U -frame satisfying (2.4.1-2). Assuming, to the contrary, that G has a circuit C with some $A = \{a, b, c\} \subseteq U \cap C$, we conclude that G has an A -separator. Indeed, the \mathcal{X} -hammocks H_a, H_b, H_c exist, by (2.4.1), and are all distinct, by (2.4.2). If one of X, F is empty, \mathcal{X} itself is clearly an A -separator, because in such a case $\gamma(X, F) = 2$, by (B.3). If $X = \{x\}$ then we have an A -separator (X, F') where F' is the union of F and the edge-sets of $H - x$, H ranging over the \mathcal{X} -hammocks H with $H \cap A = \emptyset$.

“Only if”. Let G and U satisfy $|U \cap C| \leq 2$ for every circuit C . Existence of a U -frame follows from Theorem 2.2, as applied to an arbitrary triple $A \subseteq U$. Let now \mathcal{X} be an arbitrary U -frame.

To show (2.4.1), suppose, to the contrary, that $u \in U$ belongs to the interior of no \mathcal{X} -hammock, for some U -frame \mathcal{X} . Then u lies in some component of $G(\mathcal{X})$. Choose a vertex v in the other component, and two \mathcal{X} -hammocks, say H and H' , whose interior meets U . By property (B.7), G has a $\{u, v\}$ -circuit containing through paths of H and H' . By (6), these paths can be chosen so as to meet $U \cap \text{int}H$ and $U \cap \text{int}H'$, contradicting (5).

To show (2.4.2), let H be an \mathcal{X} -hammock with a through path P traversing two members of U , and H' be another \mathcal{X} -hammock whose interior meets U ; by (6), H' has a through path P' meeting $U \cap \text{int}H'$. Again, G has a circuit containing P and P' , contradiction. ■

Claim 2.5 *Let G and U be as in Theorem 2.4. For each $u \in U$, there exists a U -frame isolating u . In particular, U is stable.*

Proof. Given $u \in U$, let \mathcal{X} be a U -frame with $H_u \cap U$ minimal; we show that $H_u \cap U = \{u\}$. If not, let v be another vertex in $H_u \cap U$. By (2.4.2), H_u has no through path traversing both u and v .

Consider the graph $J := H_u + e$ where e is a new edge linking the ends of H_u (if such an edge already exists, denote it by e and put $J := H_u$). By (6), J is 2-connected. Put $W := \{u, v, e\}$. By (2.4.2), J has no W -circuit, so it has a W -frame $\mathcal{Y} = (Y, F')$, with $Y \subset V(J)$ and $F' \subset E(J)$, with a collection \mathcal{B}' of \mathcal{Y} -hammocks including H'_u, H'_v and H'_e , all distinct (concerning H'_e see Remark just after Definition B). Now, the subgraph $H'' := (H'_e - e) \cup (G - \text{int}H_u)$ is a hammock in G , so that \mathcal{Y} acts also as a U -frame in G with the collection $\mathcal{B}'' := \mathcal{B}' \setminus \{H'_e\} \cup \{H''\}$ of \mathcal{Y} -hammocks, and $U \cap H'_u \subseteq (U \cap H) \setminus \{v\}$, contradicting the choice of \mathcal{X} . ■

REMARK. By definition, a U -frame has capacity 2 (see (4)), and according to (B.2), is actually a separator for some 3-subset of U . When $|U| > 3$, there may be several such subsets, but only in quite special cases a U -frame provides separation for all triples in U at once, that is, isolates each member of U . Property (2.4.2) and Theorem 2.2 seem to hint how a frame can be extended to what can be adopted for such a U -separator (as in proof of Claim 2.5), with an appropriately defined capacity. In contrast with Corollary 2.3, the later is expected to take any value in the interval $2 \leq \gamma < |U|$.

3 3-connected S_3 -free graphs

From this point forth, a vertex of an r -connected graph is called *ill* if it is the hub of an S_r -subgraph, and *sound* otherwise; the graph as a whole is *sound* if each its vertex is sound.

Except for Subsection 3.1, the graph $G = (V, E)$ at hand is assumed to be sound.

The term “ $N(v)$ -frame in a subgraph $G - v$ ” is usually abbreviated to *v -frame*.

3.1 Preliminaries

This subsection presents basic tools for proving Claims 3.4 and 3.5 below; Theorem 1.2 is a direct consequence thereof.

A vertex v is sound if and only if the pair $G - v, N(v)$ satisfies (5). In particular, a sound vertex belongs to no triangle, by Claim 2.5.

Observation 3.1 *Let u and v be adjacent vertices of a 3-connected graph G , and H be a hammock of $G - u$ with the ends s, t and $N(u) \cap \text{int}H = \{v\}$. If v is sound and adjacent to an end of H then $N(v) = \{s, t, u\}$ and H is an (s, t) -path of length 2.*

Proof. Since $d(v) \geq 3$, it suffices to show that no neighbour of v belongs to $\text{int}H$. Suppose, to the contrary, that there is $x \in N(v) \cap \text{int}H$. Since $G - v$ is 2-connected, it has a circuit

C traversing x and u . Since u is nonadjacent to $H - v$, C contains a through path of H , and thereby is the rim of an S_3 -subgraph of G with hub v , contradiction. Thus, $N(v) = \{s, t, u\}$. Since G is 3-connected, $\text{int}H = \{v\}$. Finally, s and t are nonadjacent, for if they are linked by an edge e then a $\{u, e\}$ -circuit in $G - v$ is the rim of an S_3 -subgraph with hub v , contradiction. ■

Observation 3.2 *Let v be a sound vertex of a 3-connected graph G , and $\mathcal{X} = (X, F)$ be a v -frame, with $X \neq \emptyset$. If there is an edge (x, y) with $x \in X$ and $y \in G(\mathcal{X}) - x$ then x is ill.*

Proof. Let C be a circuit in $G - x$ traversing v and y . Then C contains a path $[a, v, b]$ where $a, b \in N(v)$ and $H_a \neq H_b$, and also the ends of the \mathcal{X} -hammocks H_a and H_b lying in $G(\mathcal{X}) - x$. Moreover, for any $s \in H_a - x$ and $t \in H_b - x$, there exists a $\{v, y\}$ -circuit C traversing both. Indeed, in the subgraph $G - x$, C has an ear P traversing s and an ear Q traversing t . Since $H_a - x$ has exactly one vertex in common with $G - x - \text{int}H_a$, we have $P \subseteq H_a - x$; similarly $Q \subseteq H_b - x$. Then $C \cup P \cup Q$ contains a circuit traversing s, t, v , and y .

Choose such s and t to be adjacent to x . Then $|N(x) \cap C| \geq 3$, so that x is ill. ■

The following important property is a direct consequence of Claim 2.5:

(8) each edge of a sound graph belongs to a 3-disconnector.

Proof. It suffices to show that inserting an edge between nonadjacent vertices transforms a sound graph into ill. Indeed, if so then G and a subgraph $G - e$ cannot be both sound. Since G is sound, $G - e$ also has no S_3 -subgraph; since $G - e$ cannot be sound, it should be non-3-connected.

So, let u, v be nonadjacent vertices of a sound graph G , and let e be a new (u, v) -edge. If $G + e$ is sound then $G + e - u = G - u$ has an $N(u) \cup \{v\}$ -frame \mathcal{X} isolating v , by Claim 2.5, so that the \mathcal{X} -hammock H_v is a nontrivial hammock in G . Then G is not 3-connected, contradiction. ■

Let an edge be called *long* if it belongs to a nontrivial 3-disconnector, and *short* otherwise. Thus, E^0 (see Introduction) is just the set of short edges. A short edge does belong to a 3-disconnector, by (8), but only to such that isolates one of its ends (thereby having degree 3).

Lemma 3.3 *For an edge (u, v) of a sound graph, the following statements are equivalent:*

(3.3.1) (u, v) is short;

(3.3.2) $d(u) = d(v) = 3$, and the hammock H_v of the u -frame has $\text{int}H_v = \{v\}$ (by symmetry, the same holds with u and v interchanged);

(3.3.3) there is a circuit $C \subseteq G - \{u, v\}$ and distinct vertices s, x, t, y traversed by C in this circular order, such that $s, t \in N(v)$ and $x, y \in N(u)$.

Proof. (3.3.1) \Rightarrow (3.3.2). Since a short edge has an end of degree 3, let $d(u) = 3$. Put $\{x, y\} := N(u) \setminus \{v\}$, and let \mathcal{X} be the u -frame, with the hammocks H_x, H_y , and H_v . Let a, b denote the ends of H_v . Since (u, v) is short, the disconnector $\{a, b, (u, v)\}$ is trivial, implying $\text{int}H_v = \{v\}$, whence $d(v) = 3$ and H_v is the path $[a, v, b]$.

(3.3.2) \Rightarrow (3.3.3). Let $\{x, y\} := N(u) \setminus \{v\}$ and $\{s, t\} := N(v) \setminus \{u\}$; since $G - u - v$ is 2-connected, by (B.7), it has an $\{s, t\}$ -circuit, say C ; then $C \cap H_x$ and $C \cap H_y$ are through paths. By (6), these paths, and thereby C , can be chosen so as to traverse x and y , as required.

(3.3.3) \Rightarrow (3.3.1). Let C be a circuit in $G - \{u, v\}$ as specified in (3.3.3). By (8), $G - (u, v)$ has a 2-disconnector D . Since D disconnects u and v , it either coincides with the pair of edges incident with u or v , or meets the four paths of C linking $\{s, t\}$ to $\{x, y\}$. In the latter case D coincides with one of these pairs. Thus, each 3-disconnector containing (u, v) is trivial, as required. ■

3.2 Contracting an edge: proof of Theorem 1.2

If G is sound, contracting an edge cannot decrease $\kappa(G)$. Indeed, if an edge (u, v) is spanned by a 3-disconnector of G then there are $x, y \in N(v) \setminus \{u\}$ such that every $\{x, y\}$ -circuit in $G - v$ contains u .

Claim 3.4 *An edge of G is contractible if and only if it belongs to $G - V^0$.*

Proof. “Only if” is straightforward from Lemma 3.3. Indeed, (3.3.3) implies that contracting an edge incident with V^0 creates an S_3 -subgraph.

To prove “if”, it suffices to show that a noncontractible edge has an end in V^0 . Let e be an edge whose contraction creates an S_3 -subgraph. Then G has a subgraph J isomorphic to subdivision of the 3-wheel with two spokes of length 1 and one of length 2, the latter containing e . Denote the rim of such a J by C , the hub by u , the interior vertex of the longer spoke by v , the ends of the shorter spokes in C by a and b , and of the longer one by s . It suffices to show that the edge (u, v) is short.

Consider a u -frame $\mathcal{X} = (X, F)$ isolating v . Since $a, b \notin \text{int}H_v$, we have $C \cap \text{int}H_v = \emptyset$, for otherwise $C \cap H_v$ is a through path which can be chosen so as to traverse v thus creating an S_3 -subgraph. Thus, $s \in \text{bnd}H_v$; let t denote the other end of H_v . By Observation 3.1, t is adjacent to v ; so it remains to choose the rim C traversing t , to show that the pairs $\{a, b\}$ and $\{s, t\}$ disconnect each other in C , and to apply Lemma 3.3. The first is possible because t belongs to $G(\mathcal{X})$ whose components are 2-connected, by (B.6).

To show the second, recall that G has no triangle, so that $t \neq a, b$. If now s and t lie in the same component of $C - \{a, b\}$ then C may be modified so as to contain a, b and v , thus creating an S_3 -subgraph. ■

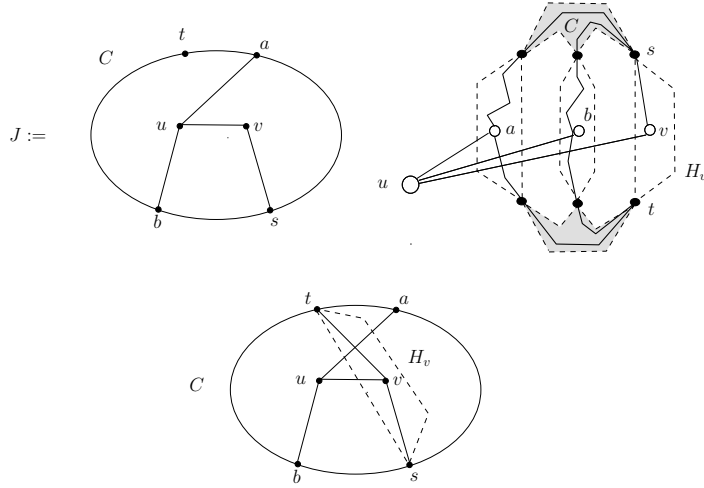


Figure 3: To proof of Claim 3.4.

Claim 3.5 *Process (1) preserves G^0 .*

Proof. Let, to the contrary, e be a contractible edge of G whose contraction changes E^0 , in any of the two ways specified below. Given an edge (u, v) with the ends of degree 3, a circuit C satisfying (3.3.3) will be called *good*.

I. $f \in E^0$ becomes long in G/e . Since a contractible edge is adjacent to no short one, the ends of f in G/e are the same as in G , say u and v . By Lemma 3.3, contracting e leaves no good circuit in G/e . This implies that each good circuit spans e .

So, in G we have $d(u) = d(v) = 3$ and a good circuit C , by Lemma 3.3, with a quadruple $Z = \{a, x, b, y\}$ of vertices traversed by C in this circular order, such that $a, b \in N(u)$ and $x, y \in N(v)$. Put $J := C \cup \{u, v\} \cup \delta(u) \cup \delta(v)$. By (8) and Claim 3.4, e belongs to a nontrivial 3-disconnector, say D ; we may assume that $D' := D \setminus \{e\}$ is a pair of vertices, so that $G - e = G' \cup G''$ with $G' \cap G'' = G[D']$. Since e is spanned by the subgraph J , the latter is disconnected by D' . Since J is a subdivision of a 3-connected graph (namely, $K_{3,3}$), its intersection with one of G' ,

G'' is a path in C whose end-pair coincides with D' and the interior contains an end of e , say s , and does not meet Z . Let this path be $P = C \cap G'$. Since D is nontrivial, $\text{int}(G' - s)$ is nonempty; since $G - s$ is 2-connected, there is a D' -path in $G' - s$, say Q . Then replacing P with Q yields a good circuit in G not spanning e , contradiction.

II. A long edge $f \in E(G - V^0)$ becomes short in G/e . Since in G/e the ends of f have degree 3, none of them is obtained by merging the ends of e ; thus, the ends of f in G/e are the same as in G , say u and v . By Claim 3.3, contracting e creates a good circuit, so that G/e has a subgraph J as above, with $J - u - v = C$, $N_{G/e}(v) = \{s, t, u\}$ and $N_{G/e}(u) = \{a, b, v\}$, while in G one of the edges linking $\{u, v\}$ to C , say (v, s) , is subdivided by a vertex w . The resulting path (v, w, s) clearly contains e . Since $d_{G/e}(v) = 3$, there should be $e = (w, s)$. Consider the v -frame in G , with the hammocks denoted by H_x , $x \in N(v) = \{u, w, t\}$. We assert that C does not meet $\text{int}H_w$. Indeed, $C \cap \text{int}H_w$, if nonempty, is a through path which may be chosen so as to traverse w . Since our frame isolates w (because $d(v) = 3$) such a deformation would preserve $a, b \in C$, thus creating an S_3 -subgraph in G .

Since $C \cap \text{int}H_w$ is empty, s is an end of H_w , so that Observation 3.1 is applicable. Thus, $d(w) = 3$ and w is the only interior vertex of H_w . By (3.3.2), the edge (v, w) is short, so that e is noncontractible, by Claim 3.4, contradiction. ■

Theorem 1.4 follows.

3.3 Splitting a vertex

Recall that $G = (V, E)$ is sound, that is, 3-connected and S_3 -free.

SPLITTING A VERTEX v is a transformation $G \mapsto \hat{G}$ by partitioning $N(v)$ into subsets N' and N'' , replacing v with two adjacent vertices, v' and v'' , and linking v' to each member of N' and v'' to each member of N'' . The resulting graph \hat{G} is 3-connected if and only if $|N'|, |N''| \geq 2$ (see [3], Theorem 9.12). In the sequel, $\hat{N}(\cdot)$ and $\hat{d}(\cdot)$ are used instead of $N_{\hat{G}}(\cdot)$ and $d_{\hat{G}}(\cdot)$. Thus, $\hat{N}(x) = N(x)$ for each $x \in V \setminus N(v) \setminus \{v\}$, $\hat{N}(v') = N' \cup \{v'\}$ and similarly for v'' , and $\hat{N}(x) = N(x) \setminus \{v\} \cup \{v'\}$ for $x \in N'$ and similarly for $x \in N''$.

A vertex v of a sound graph is *splittable* if $d(v) > 3$ and v admits a split with $|N'|, |N''| \geq 2$ producing no S_3 -subgraph. A sound graph with no splittable vertex is *nonsplittable*.

Claim 3.6 *A vertex v of degree greater than 3 is nonsplittable if G has a perfect v -frame (X, F) with $|X| = 1$, and only if this is the only v -frame in G .*

Proof. To show “if”, consider a perfect v -frame $\mathcal{X} = (\{x\}, F)$ and choose an arbitrary partition N', N'' of $N(v)$ satisfying $|N'|, |N''| \geq 2$. An S_3 -subgraph of \hat{G} with hub v'' is constructed as follows. Choose $r, s \in N'$ and $t, u \in N''$, and denote by J the union of $G(F)$, the \mathcal{X} -hammocks H_r, H_s , and the path (r, v', s) . Denote by t' and u' the ends of H_t and H_u in $G(F)$; since J is 2-connected (by remark (B.6) to Definition B), it has a (t', u') -path P traversing v' . Clearly, $x \notin P$. On the other hand, $H_t \cup H_u$ contains a (t', u') -path Q traversing t and u , by (6). Then $P \cup Q$ is the rim of an S_3 -subgraph with hub v'' , as required.

To prove “only if”, we consider a vertex v , $d(v) > 3$, and let $\mathcal{X} = (X, F)$ be a v -frame which, to the contrary, is either imperfect (case I) or having $F = \emptyset$ (case II; by (B.3), this is the only way $|X| \neq 1$ holds), and choose a certain partition of $N(v)$ for split. The absence of an S_3 -subgraph in \hat{G} is established indirectly, according to the following program. Since G is sound, an S_3 -subgraph J of \hat{G} , if exists and has rim S and hub z , certainly contains v' and v'' , in a way that the edge $e = (v', v'')$ appears either as a spoke or a chord of C ; in the latter case each component of $C - v' - v''$ meets $\hat{N}(z)$. The proof refutes these two possibilities, separately for cases I and II.

Note that each \mathcal{X} -hammock is nontrivial, by (B.3) and Observation 3.2, and therefore contains a neighbour of v in the interior.

I. Suppose that \mathcal{X} is imperfect, that is, there is an \mathcal{X} -hammock H with $|H \cap N(v)| \geq 2$. Choose $N' = N(v) \cap H$ and $N'' = N(v) \setminus H$, and let, to the contrary, J be an S_3 -subgraph of \hat{G} , with rim C and hub z .

(I.1) $e = (v', v'')$ is a spoke of J , so that $z \in \{\hat{N}(z) \setminus \{v''\}\} \in \text{int}H$, so that some two vertices from $N' \subset N(v)$, lie on the through path $C \cap H$ of H , contradicting (2.4.2). Further, \mathcal{X} acts in \hat{G} as a v'' -frame, with the same hammocks H_t , $t \in N''$, as initially in G , and also $H' := \hat{G}(H \cup \{v'\})$. Thus, the circuit C lies in $\hat{G} - v''$, meets $\text{int}H'$ and contains some $a, b \in N''$. Note that the number of \mathcal{X} -hammocks whose interior meets C is even, and its intersection with each of them can be chosen so as to traverse a neighbour of v'' . Then there is exactly one hammock H_t , $t \in N''$, having $C \cap \text{int}H_t \neq \emptyset$, for if there are more then contracting $e = (v', v'')$ and replacing $C \cap H'$ with a through path of H transforms J into an S_k -subgraph in G , $k \geq 3$. Thus, the through path $C \cap \text{int}H_t$ traverses at least two neighbours of v (namely, the ends of spokes other than e), contradiction.

(I.2) e is a chord of C ; since $\hat{G}/e = G$ is sound, each component of $C - v' - v''$ meets $N(z)$; on the other hand, it contains at most two members thereof. We show that in G there is no place for the hub z .

(I.2.1) $z \notin G(\mathcal{X})$. Indeed, otherwise J has a spoke, say (a, z) , linking the components of $G(\mathcal{X})$; refer to them as A and Z respectively. The other two spokes, say (b, z) and (c, z) , are spanned by Z . Return to the initial $G = \hat{G}/e$, retain the two hammocks whose interior meets C and remove the interior of the others. Since, by (B.7), the resulting graph is 2-connected, the path $C \cap A$ may be chosen so as to traverse a ; this yields an S_3 -subgraph in G , contradiction.

(I.2.2) z is not an interior vertex of an \mathcal{X} -hammock (with respect to G). Indeed, let K be such a hammock with $z \in \text{int}K$.

If $|N(v) \cap \text{int}K| = 1$ then $\hat{N}(z) \setminus \{v''\} \subseteq K$. Since $C \cap K$ avoids v'' and a component of $C - v' - v''$ contains at most two members of $N(z)$, we have $\hat{d}(z) = 3$, and z is adjacent to v'' . Then contracting $e = (v', v'')$ and replacing $C \cap H'$ with a through path of H produce an S_3 -subgraph in G with hub z .

If $|N(v) \cap \text{int}K| > 1$ then $N(z) \setminus \{v\} \subseteq K$. Let P be a path in $G - v - \text{int}K$ linking the ends of K . Then the union of P and $C \cap G(H \cup \{v\})$ is an S_3 -subgraph in G , contradiction. Thus, any v -frame in G is perfect.

II. Suppose that $F = \emptyset$ and let $X = \{x, y\}$. Since X is perfect, the \mathcal{X} -hammocks H_r , $r \in N(v)$, are all distinct. We show that the result of every split satisfying $|N'|, |N''| \geq 2$ is sound.

Indeed, let, to the contrary, J be an S_3 -subgraph of \hat{G} , with hub z , rim C , and spokes (z, s) , (z, t) , (z, u) . First, $z \neq v', v''$, because if, say, $z = v''$ with $s, t \in N''$ then C contains a through path in each of three hammocks with the same ends: H_s, H_t , and also in the hammock induced by v' and H_r , $r \in N'$, impossible.

Thus, e is a chord of C . Since $J \subseteq \hat{G} - e$ and X disconnects v' and v'' in $\hat{G} - e$, we have $x, y \in J$; since J is a subdivision of a 3-connected graph (namely, $K_{3,3}$), $C - X$ has a component nonadjacent to z , say P . Suppose, without loss of generality, that s, t, x, v', y, u lie on C in this circular order. Clearly, $v' \neq x, y$ while t may coincide with x , and also u with y . Since $x, y \in C$, the hub z lies in the interior of one of the hammocks H_a, H_b where a, b are the neighbours of v' along C ; indeed, otherwise replacing P with a through path of H_a yields an S_3 -subgraph of \hat{G} not spanning e . Suppose that $z \in H_a$. Then $z \in \text{int}H_a$, so that $s, t, u \in C \cap H_a \subset P$, contradiction. ■

Thus, related to a nonsplittable vertex v of a sound graph is, first, another vertex, say u , and, second, a set F of edges inducing a 2-connected subgraph, such that $\mathcal{X} = (\{u\}, F)$ is a perfect v -frame. Let $d(v) = m$, and denote the \mathcal{X} -hammocks by H_1, \dots, H_m . By Claim 3.2, u and $V(F)$ are nonadjacent. By interchanging u and v , one obtains a u -frame $\mathcal{X}' = (\{v\}, F)$ with the \mathcal{X}' -hammocks $H'_i = G((H_i - u) \cup \{v\})$. The situation becomes quite symmetrical when u is also nonsplittable: by Claim 3.6, \mathcal{X}' is perfect, so that $d(u) = m$. The picture acquires additional details when G is nonsplittable as a whole, as follows.

Claim 3.7 *Let G be nonsplittable. Then for each vertex v of degree $m > 3$ there exists a partition \mathcal{V} of V into $\{u\}$, $\{v\}$, W , and X_i , $i = 1, \dots, m$, such that*

$$(3.7.1) \quad d(v) = m,$$

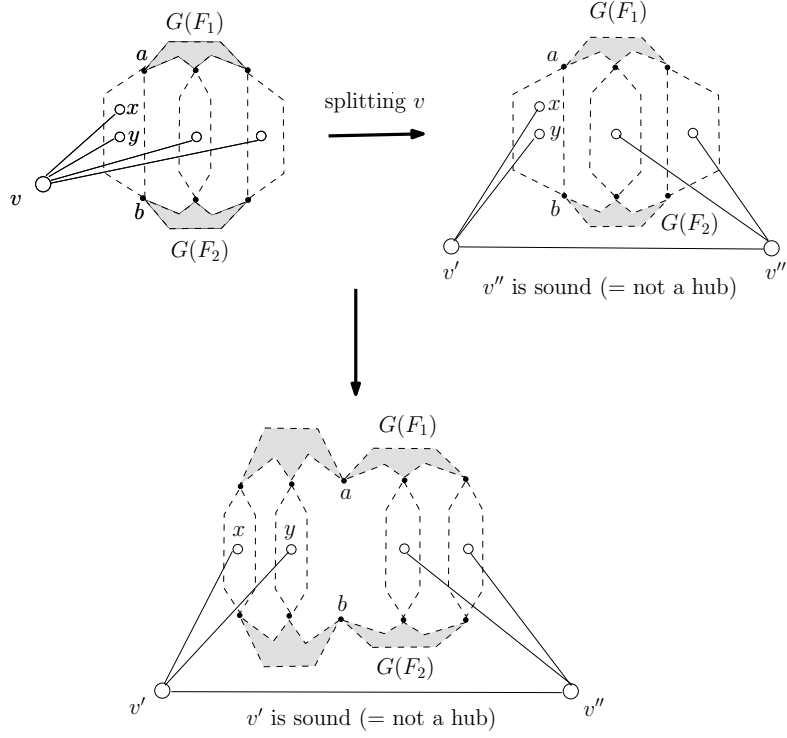


Figure 4: Splitting a vertex.

- (3.7.2) $\delta(X_i)$ is a 3-matching, $i = 1, \dots, m$,
(3.7.3) $\delta(W)$ is an m -matching, and $G(W)$ is 2-connected, and
(3.7.4) $G/\mathcal{Y} \cong K_{3,m}$.

Proof. Given a vertex v of degree $m > 3$, a partition \mathcal{Y} of the required form arises from the v -frame \mathcal{X} by assigning $W := V(F)$ and $X_i := \text{int}H_i$. The second assertion in (3.7.3) is just remark (B.6) to Definition B. It remains to check the rest of (3.7.2-4).

To show (3.7.2), let H be an \mathcal{X} -hammock, X be its interior, and s be the common vertex of H and W . Then (3.7.2) asserts that s is linked to X by exactly one edge, and X is not a singleton. If $d_H(s) > 1$ then $d(s) = n > 3$ (because $G(F)$ is 2-connected). As we have just seen, there exists a vertex t , $d(t) = n$, a set W' of vertices, and subgraphs J_1, \dots, J_n with $\text{bnd}J_i = \{r_i, a_i, t\}$ where each a_i is linked to s and r_1, \dots, r_n are all distinct, by requirement (B.4) of Definition B. Assume that $a_1, a_2 \in X$ and $a_{n-1}, a_n \in W$. Let C be an $\{a_1, a_2\}$ -circuit in $G - s$. Since each $a_i \in \text{int}_{G-s}J_i$, such a circuit traverses t .

Suppose that $C \setminus H$ is nonempty. Then $C - X$ is a path of length at least 2. Since the subgraph $G - X$ is 2-connected, it contains an ear P of $C \setminus H$ traversing a_n . Then $C \cup P$ contains an $\{a_1, a_2, a_n\}$ -circuit lying in $G - s$, so that G has an S_3 -subgraph, contradiction.

It remains to assume $C \subseteq H$; since $d_H(u) = d_H(v) = 1$, we actually have $C \subseteq X$, whence $t \in X$. Consider an $\{a_{n-1}, a_n\}$ -circuit C' in $G - s$; since C' traverses t , the intersection $C' \cap H$ is a path of length at least 2. Let Q be an ear of C' in $G - s$ traversing a_1 . Then $Q \subseteq X$, and $C' \cup Q$ contains an $\{a_1, a_{n-1}, a_n\}$ -circuit lying in $G - s$, contradiction.

To finish with (3.7.3), $\delta(W)$ being a matching is straightforward from remark (B.5).

To show that $|X_i| > 1$, suppose, without loss of generality, that $X_4 = \{x\}$, with $N(x) = \{u, v, w\}$, $w \in W$. Remove the sets X_i with $i > 3$; since $G(W)$ is 2-connected, one easily finds a $\{u, v, w\}$ -circuit in the remaining subgraph, so that G has an S_3 -subgraph, contradiction. \blacksquare

An alike property of vertices of degree 3 depicts the nodes adjacent to leaves in the mincut tree of a nonsplittable graph.

Claim 3.8 *Let G be nonsplittable, u be a vertex of G of degree 3, $\mathcal{X} = (X, F)$ be the u -frame, and H_i , $i = 1, 2, 3$, be the \mathcal{X} -hammocks. Then the partition of V into $\{u\}$, the components of $G(\mathcal{X})$, and $\text{int}H_i$, $i = 1, 2, 3$, is a member of \mathbf{C} represented in MCT by the node adjacent to the leaf $\{V \setminus \{u\}\}$.*

Proof. It suffices to show that an end of each H_i is linked to the interior by exactly one edge. The techniques of proof of Claim 3.7 works here too. Suppose, to the contrary, that an end s of an \mathcal{X} -hammock H has $d_H(s) > 1$. Since the components of $G(\mathcal{X})$ are 2-connected, this implies $d(s) = n \geq 4$. Since G is nonsplittable, there exists a vertex t , $d(t) = n$, and a partition of G into $\{s\}$, $\{t\}$, Y_1, \dots, Y_n , and W satisfying (3.7.2-4). We may assume that Y_1, Y_2 meet $H - s$, and Y_{n-1}, Y_n meet $G - H$. Denote by a_i the vertex of Y_i adjacent to s . The subgraph $G - s$ has an $\{a_1, a_2\}$ -circuit, say C , and an $\{a_{n-1}, a_n\}$ -circuit C' , each forcibly traversing t . Repeating the argument of proof of Claim 3.7 one concludes that $G - s$ has a circuit containing three neighbours of s . ■

3.4 Proof of Theorem 1.3

Recall the notation: $\mathcal{Z} = \{X \subset V: d(X) = 3\}$, \mathbf{C} denotes the set of \preceq -maximal subpartitions $\mathcal{X} \subset \mathcal{Z}$ satisfying $X \cup Y \neq V$ for each $X, Y \in \mathcal{X}$, and \mathcal{T} denotes the mincut tree of G .

Note that the subgraphs $G(X)$, $X \in \mathcal{Z}$, are all 2-connected.

“Only if”: a nonsplittable sound graph (i. e., a member of \mathcal{H}^0) is cuboid, and each node of its mincut tree adjacent to a leaf has degree 6.

Let G belong to \mathcal{H}^0 . By Claim 3.7, G has 3-edge cuts. According to Definition A, it suffices to check that G is cuboid, and then apply Claim 3.8.

Proof of (A.2.1). We are to show that the inclusion-minimal members of \mathcal{Z} are singletons. Let $Y \in \mathcal{Z}$ be inclusion-minimal. If $|Y| > 1$ then each vertex $v \in Y$ has degree greater than 3. Since v is nonsplittable, adjacent to v are pairwise disjoint sets $X_1, \dots, X_m \in \mathcal{Z}$, $m = d(v) > 3$, having $|X_i| > 1$. By the minimality of Y , we have $X_i \setminus Y \neq \emptyset$ for each i . Thus, $Y \setminus X_i$ is nonempty (contains v) and $Y \cup X_i \neq V$ for each i . Since \mathcal{Z} is cross-free, we have $Y \cap X_i = \emptyset$, so that $\delta(v) \subseteq \delta(Y)$, impossible.

Proof of (A.2.2). We are to show that for each \preceq -maximal subpartition $\mathcal{Y} \subset \mathcal{Z}$, the graph G/\mathcal{Y} is cuboid. Since G/\mathcal{Y} is essentially 4-edge connected, we only need to check (A.1). If $\cup \mathcal{Y} = V$ then G/\mathcal{Y} is cubic, and (A.2.2) trivially holds. Otherwise choose $v \in V \setminus \cup \mathcal{Y}$ and put $m := d(v)$. Since \mathcal{Y} is \preceq -maximal, we have $m > 3$. By Claim 3.7, there exists a partition of V into $\{v\}$, $\{u\}$, and sets $X_1, \dots, X_m \subset \mathcal{Z}$ and W , such that $G(W)$ is 2-connected, $\delta(W)$ is an m -matching, and each X_i is adjacent to u, v and W . We show that $X_i \in \mathcal{Y}$, $i = 1, \dots, m$.

By (2) and the subsequent explanation, if X_1 is not a member of \mathcal{Y} then it either is a proper subset of some member of \mathcal{Y} , say Y_1 , or meets each member of \mathcal{Y} . In the latter case $\cup \mathcal{Y} \subseteq X_1$, because $X_1 \cup (\cup \mathcal{Y}) \subseteq G - v$, so that if some $Y \in \mathcal{Y}$ has $Y \cap X_1$ and $Y \setminus X_1$ nonempty then $X_1 \subseteq Y$, contradiction. But then $\mathcal{Y} \cup \{V \setminus X_1\}$ is a \mathcal{Z} -subpartition \preceq -majorating \mathcal{Y} , contradiction.

Thus, $X_i \subset Y_i$, $i = 1, \dots, m$, where Y_i are distinct members of \mathcal{Y} (because $X_i \cup X_j \subset Y \in \mathcal{Y}$ would imply that two edges from $\delta(Y)$ are incident with v , so that $\delta(Y)$ is not a matching, and therefore G is not 3-connected). This, however, leaves no place for the vertex u . Indeed, $u \notin V \setminus (\cup \mathcal{Y})$ because otherwise $|\delta(X_i) \cap \delta(Y_i)| \geq 2$ whence G is not 3-connected. On the other hand, $u \notin \cup \mathcal{Y}$, for of, say $u \in Y_1$ then $|\delta(u) \cap \delta(Y_1)| = m - 1$ whence $|\delta(Y_1)| = m > 3$, contradiction.

“If”: a cuboid graph whose MCT’s nodes adjacent to leaves have degree 6 is sound and nonsplittable.

Note first that an essentially 4-edge connected cuboid graph H distinct from $K_{3,3}$ has more than six vertices of degree 3. This is clearly so if H is cubic. Otherwise, H has an induced $K_{2,r}$ -subgraph J , $r > 3$, such that $M := \delta(V(J))$ is an r -matching linking the r -part of J to a 2-connected subgraph $H - J$. The assertion trivially holds if the outside ends of M have all

degree 3. If not, let x be an end of M in $H - J$ with $d(x) = s > 3$. By (A.1), the neighbours of x have degree 3, and exactly $s - 1$ of them are outside J . Thus, H has at least $r + s - 1 > 6$ vertices of degree 6, as asserted.

Returning to the proof, let G be a cuboid graph as announced, v be a vertex of G of degree m , and \mathcal{X} be the member of \mathbf{C} such that v is a vertex of $G' := G/\mathcal{X}$. We are to show that v is sound and either has degree 3 or satisfies the condition of Claim 3.6.

Suppose first that $m = 3$. Then $\{v\} \in \mathcal{X}$, so that \mathcal{X} is adjacent to the leaf $\{V \setminus \{v\}\}$. Then G' is an essentially 4-edge connected cuboid graph with exactly six vertices of degree 3, that is $K_{3,3}$. In the initial G , the members of \mathcal{X} nonadjacent to v form a v -frame, so that v is sound.

Let now $m > 3$. By (A.2.2), G/\mathcal{X} has a $K_{2,m}$ -subgraph J as above, with v in its 2-part. Let u be the other such vertex of J , X_i , $i = 1, \dots, m$, be the members of \mathcal{X} adjacent to u and v , and F denote the edge-set of $G - \{u, v\} - \cup_{1 \leq i \leq m} X_i$. Then $(\{u\}, F)$ is a perfect v -frame, so that v is just as required.

Thus, G is sound, and Claims 3.7 and 3.8 imply the “if” part. Theorem 1.3 is proved.

3.5 Proof of Theorem 1.4

By Claim 3.5, the subgraph G^0 of a member of \mathcal{H} is copied from the unsplittable ancestor of G . So, assume, for simplicity, that G itself belongs to \mathcal{H}^0 , let $e = (u, v)$ be a short edge of G , and denote by J the component of G^0 containing e . Recall that $d(u) = d(v) = 3$. Let $N(u) = \{q, r, v\}$ and \mathcal{X} be the u -frame, with the hammocks H_q, H_r, H_v ; the latter is a two-edge path with v between, by Claim 3.4. By Claim 3.8, $\text{int}H_q$ is a 2-connected subgraph linked by a single edge to each end of H_q , and similarly for H_r . Put $Z_q := \text{int}H_q$ and $Z_r := \text{int}H_r$; these subgraphs are easily seen to form the v -frame, say \mathcal{Y} . Symmetrically, the components of $G(\mathcal{X})$ are similar 2-connected subgraphs of the \mathcal{Y} -hammocks. Denote these components by Z_s and Z_t where s, t are the neighbours of v other than u .

Put $\mathcal{A} := \{Z_q, Z_r, Z_s, Z_t\}$. Then $G/\mathcal{A} \cong K_{3,3}$, and the shape of J depends on which members of \mathcal{A} are singletons. Namely, an edge adjacent to e , say (q, u) , is short if and only if $Z_q = \{q\}$. Indeed, (q, u) belongs to a nontrivial 3-disconnector $\delta(Z_q)$ if Z_q is not a singleton, and is short otherwise by Claim 3.4.

Theorem 1.4 is proved.

4 Case $r \geq 4$: proof of Theorem 1.1

Here $G = (V, E)$ is r -connected, $r \geq 4$. As in Section 3, a vertex will be called ill if it is the hub of an S_r -subgraph, and sound otherwise. A set is considered as ill if it contains an ill vertex, and as sound otherwise.

4.1 Proof of Theorem 1.1

We use the following properties implied by Corollary 2.3. A sound vertex u belongs to a uniquely defined set $N^*(u) \subseteq V \setminus N(u)$ of size r satisfying $c(G - N^*(u)) \geq |N^*(u)|$, namely, the union of $\{u\}$ and the $N(u)$ -separator in $G - u$ whose uniqueness is asserted by Corollary 2.3.

In the forthcoming Claims 4.1 and 4.2, G is an arbitrary r -connected graph, u is a sound vertex of G , and $B := N^*(u)$.

Claim 4.1 *A vertex $v \in B$ is ill if and only if $d(v) > d(u)$.*

Proof. Since each component J of $G - B$ has $N(J) = B$, we have $d(v) \geq d(u)$ for $v \in B$. So, $d(v) = d(u)$ implies that $B \setminus \{v\}$ is an $N(v)$ -separator in $G - v$, and the “only if” assertion follows.

To show “if”, consider each of the two reasons for $d(v) > d(u)$, namely, $|N(v) \cap J| > 1$ for some component J of $G - B$, and $N(v) \cap B \neq \emptyset$

Let, first, v have two (or more) neighbours in some component of $G - B$. If v is sound then there exists $B' := N^*(v)$, of size r . Choose $A \subset N(v)$, $|A| = r$, satisfying $|A \cap J| \leq 1$ for each component $G - B$. Since $B \setminus \{v\}$ is an A -separator, G contains a subdivision H of $K_{r,r}$ with the bipartition A, B , by Theorem 2.1. Since $|A \cap K| \leq 1$ also for the components K of $G - B'$, we have $B' \subset V(H)$, and each component of $H - B'$ contains at most one member of A . Then $B' = B$, contradicting Corollary 2.3.

Let, second, v be adjacent to another member of B . Form a set $A \subset N(v)$ of size $r - 1$ by choosing some $r - 1$ components of $G - B$, and one member of $N(v)$ in each. By Dirac's theorem, $G - v$ has an A -circuit, say C . Since C contains $B \setminus \{v\}$, we have $|C \cap N(v)| \geq r$, so that v is ill. ■

Claim 4.2 *Suppose that B is sound, and let J be a component of $G - B$. Then either the (B, J) -edges form an r -matching, or J is a singleton. In the latter case, either $G \cong K_{r,r}$ or J is ill.*

Proof. Let v be a vertex of J adjacent to more than one member of B . If $J' := J \setminus \{v\}$ is nonempty then $N(J') = (N(J) \setminus N(v)) \cup \{v\}$, because $N(N(v)) \cap J = \{v\}$ by Claim 4.1. Hence $|N(J') \setminus \{u\}| < r$, contradiction. Thus, $J' = \emptyset$ and $J = \{v\}$.

Suppose now that no circuit of $G - v$ contains $N(v) = B$, and let Z be an B -separator in $G - v$. Clearly, Z meets each component K of $G - v - B$, so that the number of these components is $|Z| = r - 1$ and each K has $|K \cap Z| = 1$. For an arbitrary K , let z be the member of $K \cap Z$; if $K \neq \{z\}$ then $K \cap N(B)$ has cardinality r , as we have just seen, and at least $r - 1$ of its members belong to distinct components of $J - z$, contradicting G being 3-connected. Thus, K is a singleton, so that $G \cong K_{r,r}$, as required. ■

Theorem 1.1 is a consequence of a certain finiteness property of the graph. We present two proofs, exploiting finiteness of the vertex-set (the first proof) and of paths with prescribed ends (the second proof). The second proof remains valid for graphs with countably infinite vertex-set.

FIRST PROOF. Suppose, to the contrary, that G is sound, and for each vertex v define

$$\alpha(v) := \min\{|J| : J \text{ is a component of } G - N^*(v)\}.$$

Put $\alpha := \min_{v \in V} \alpha(v)$; we have $\alpha > 1$, by Claim 4.2. Choose v with $\alpha(v) = \alpha$, let J be a component of $G - N^*(v)$ of size α , and choose $u \in J$. In what follows K stands for a component of $G - N^*(u)$.

By Claim 4.2, $|N(u) \cap J| \geq d(v) - 1 \geq r - 1$. We prove the theorem by showing that J properly contains some K , contradicting the choice of J . Indeed, otherwise each K meets $N^*(v)$. Since $c(G - N^*(u)) \geq r = |N^*(v)|$, the members of $N^*(v)$ belong to distinct K 's. Since each member of $N^*(v)$ is adjacent to each component J' of $G - N^*(v)$, each J' should contain a member of $N^*(u)$. Thus, $N^*(u) \cap N^*(v) = \emptyset$ and $J \cap N^*(u) = \{u\}$. Now, there clearly exists K intersecting with J . We have then $N(K \cap J) = \{u, t\}$ where t is the member of $K \cap N^*(v)$. Since $r > 2$, this is impossible, and the theorem follows. ■

SECOND PROOF. We actually establish a more precise fact. Sets of the form $N^*(u)$ (for some sound vertex u) will be referred to as *blocks*.

Theorem 4.3 *Let G be r -connected, $r \geq 4$. For any sound vertex v , every $N^*(v)$ -path of G either contains an ill vertex, or, otherwise, meets an ill block.*

Proof. Let (u, v) be an edge of G such that v and $N^*(u)$ are sound (if no such edge exist, the assertion is trivially true). We put $B := N^*(u)$, and denote by J components of $G - N^*(v)$, and by K those of $G - B$. In particular, J_u and K_v are the components containing u and v respectively. The key observation is that

$$(9) \quad J_u \text{ and } K_v \text{ form a partition of } V, \text{ and } E(J_u, K_v) \text{ is an } (N^*(u), N^*(v))\text{-matching}$$

(so that B and the other K 's are inside J_u , and $N^*(v)$ and the other J 's are inside K_v). We show (9) in three steps, as follows.

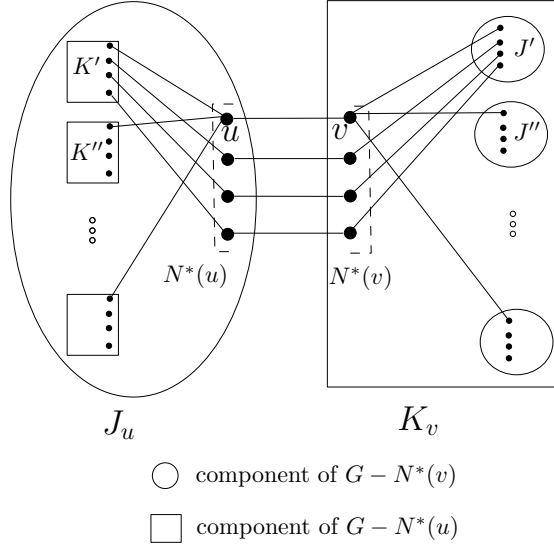


Figure 5: Assertion (9).

I. $K \neq K_v \Rightarrow K \subseteq J_u$. To show this, note first that $N(v) \setminus \{u\} \subseteq K_v$; indeed, since v is sound, we have $|N(v) \cap B| = 1$ by Claim 4.2.

Suppose to the contrary that $K \setminus J_u \neq \emptyset$ for some $K \neq K_v$. Since $N(J_u) = N^*(v)$, there exists $t \in K \cap N^*(v)$. As members of $N^*(v)$, t and v are adjacent to each J ; since they lie in distinct K 's, the interior of every (t, v) -path meets B ; therefore each J should meet B . Moreover, since the number of J 's is at least $|N^*(v)| = r$, we have $|J \cap B| = 1$ for each J ; that is, the members of B belong to distinct J 's. Since $K_v \subset V \setminus B$, we have $N(J \cap K_v) = \{v, s\}$ where s is the only common member of J and B , contradicting the connectivity assumption.

II. $B \cap N^*(v) = \emptyset$. Indeed, if, to the contrary, there is $t \in B \cap N^*(v)$ then t is adjacent to each J , as a member of $N^*(v)$. Since, however, $V \setminus (J_u \cup K_v) \subset B$ (by I), each $J \neq J_u$ is a subset of $K_v \cup B$, so that $|N(t) \cap (K_v \cup B)| > 1$, contradicting Claim 4.1.

III. It follows from I that $V \setminus (J_u \cup K_v) \subset B$; since $N^*(v)$ meets neither B (by II) nor J_u , we have $N^*(v) \subset K_v$. Therefore each $t \in B \setminus J_u$, if any, belongs to some $J \neq J_u$ (by II); on the other hand, t is adjacent to each $K \subset J_u$, so that J and J_u are adjacent, contradiction. Thus, $B \subset J_u$. Finally, the relation $K_v \cap J_u = \emptyset$ and the second assertion of (9) are now obvious.

Based on Claims 4.1 and 4.2 and observation (9), one may imagine a branching process starting with a sound block, say B_0 , and stopping in a component J of the current $G - B$ whenever the subset $N(B) \cap J$ is ill. The idea of this proof is that every B_0 -path, due to being finite, should inevitably enter a component in which the process stops.

At this point, Theorem 1.1 may already be extended to r -connected countably infinite graphs, because if such G is sound then the above process never stops, nesting within the components, so that G is a union of r disjoint trees, contradicting the connectedness assumption. We, however, continue proving Theorem 4.3.

Let B be a sound block, and P be a path in G with the ends in B . It suffices that an ill set be found by an inclusion-minimal segment of P with the ends in B ; let Q be such a segment, with the ends t and u . Then $P' := Q - \{t, u\}$ lies in some component J of $G - B$. Let $t', u' \in B' := N(B) \cap J$ be the ends of P' . If B' is ill, we are done; otherwise B' is a sound block, by (9), and the assertion follows by induction in the length of P . ■

4.2 Application to an extremal problem

Return to the extremal problem mentioned at the beginning of Introduction. The maximal number $\text{ex}(n, r)$ of edges in an n -vertex simple graph with no S_r -subgraph was known to grow linearly in n [1]. A refinement of this fact follows from Theorem 1.1.

Claim 4.4 *Let $r \geq 4$ be an integer. A graph $G = (V, E)$ satisfying $|V| \geq 2r - 1$ and $|E| > (2r - 3)(|V| - r + 1)$ has an S_r -subgraph.*

Proof, by induction in $|V|$. For $|V| = 2r - 1$ we have $|E| \geq (2r - 3)r + 1 = \binom{2r-1}{2}$, so that G is a complete graph with more than r vertices, clearly containing S_r .

Suppose now that $|V| > 2r - 1$. If G has a vertex v of degree $\leq 2r - 3$ then $|E(G - v)| = |E| - d(v) > (2r - 3)((|V| - 1) - r + 1)$, so that $G - v$, and thereby G , has an S_r -subgraph by the induction hypothesis. So, assume that the minimal vertex degree satisfies $d^{\min} \geq 2r - 2$. Then $G \not\cong K_{r,r}$. So, if, to the contrary, G has no S_r -subgraph, it has a disconnecter $X \subset V$ of size $\leq r - 1$, by Theorem 1.1, so that $G = G_1 \cup G_2$, with $G_1 \cap G_2 = G(X)$. Let $G_i = (V_i, E_i)$. We have $|V_i| \geq d^{\min} + 1 \geq 2r - 1$; since neither of G_i has an S_r -subgraph, the induction hypothesis yields

$$\begin{aligned} |E| &= |E_1| + |E_2| - |E(X)| \\ &\leq (2r - 3)(|V_1| + |V_2| - 2r + 2) = (2r - 3)(|V| + |X| - 2r + 2) \\ &\leq (2r - 3)(|V| - r + 1), \end{aligned}$$

contradiction. ■

Thus, $\text{ex}(n, r) \leq (2r - 3)(n - r + 1)$, for $r \geq 3$ and $n \geq 2r - 1$.

On the other hand, the graph $\Gamma_{n,r} := K_{r-1, n-r+1} + M$ where M is a maximal matching on the $(r - 1)$ -part of $K_{r-1, n-r+1}$, has no S_r -subgraph, whence

$$(10) \quad \text{ex}(n, r) \geq (r - 1)(n - r + 1) + \lfloor \frac{r-1}{2} \rfloor.$$

Equality in (10) is known to hold for $r = 3$ (C. Thomassen [8]) and $r = 4$ (E. Horev [5]); in the latter case, the only extremal graph is $\Gamma_{n,4}$.

We conjecture that the equality in (10) holds for all $r \geq 4$ and $n \geq 2r - 1$, with the only extremal graph $\Gamma_{n,r}$.

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